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(71) Applicant: PHERIN CORPORATION [US/US]; Suite 240, 535 Middlefield Road, Menlo Park, CA 94025 (US).

(72) Inventors: BERLINER, David, L.; 380 Selby Lane, Atherton, CA 94027 (US). ADAMS, Nathan, William; 4858 South Rockhill Lane, Salt Lake City, UT 84123 (US). JENNINGS-WHITE, Clive, L.; 3096 South 2300 East, Salt Lake City, UT 84109 (US).

(74) Agents: SUYAT, Reginald, J. et al.; Heller, Ehrman, White & McAuliffe, 333 Bush Street, San Francisco, CA 94104 (US). (81) Designated States: AT, AU, BB, BG, BR, BY, CA, CH, CZ, DE, DK, ES, FI, GB, HU, JP, KP, KR, KZ, LK, LU, MG, MN, MW, NL, NO, NZ, PL, PT, RO, RU, SD, SE, SK, UA, VN, European patent (AT, BE, CH, DE, DK, ES, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, ML, MR, NE, SN, TD, TG).

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#### (57) Abstract

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The invention relates to a method of altering hypothalamic function in an individual. The method comprises nasally administering a human semiochemical, e.g. an Androstane steroid, or a pharmaceutical composition containing a semiochemical, such that the ligand semiochemical binds to a specific neuroepithelial receptor. The steroid or steroids is/are preferably administered in the form of a pharmaceutical composition containing one or more pharmaceutically acceptable carriers. Other embodiments of the invention include pharmaceutical compositions containing the steroids.

Applicants: Catherine Dulac and Richard Axel

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Exhibit C

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# ANDROSTANE STEROIDS AS NEUROCHEMICAL INITIATORS OF CHANGE IN HUMAN HYPOTHALAMIC FUNCTION AND RELATED PHARMACEUTICAL COMPOSITIONS AND METHODS

#### BACKGROUND

#### 5 <u>Technical Field</u>

This invention relates generally to pharmaceutical compositions and methods for effectuating change in human hypothalamic function, thereby altering certain behavior and physiology mediated by the hypothalamus of individuals. More particularly, the invention relates to the use of certain Androstene steroids as neurochemical effectuators of physiology and behavior.

## Description of the Related Art

The present invention relates to certain compounds, namely Androstane steroids, particularly Androstene steroids and related compounds as will be described herein, and methods of using these compounds as human semiochemicals in order to alter hypothalamic function, thereby affecting certain consequent behavior and physiology, e.g., the reduction of anxiety. Androstane steroids are typified by testosterone and they are characterized by a four ring steroidal structure, a methylation at

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the 13-position and at the 10-position. Androstenes are a subset of Androstanes and have at least one double bond. Ohloff, G. et al. (Helv. Chim. Acta (1983) 66:192-217), which is incorporated herein by 5 reference, have shown that several members of this group of steroids have an odor which varies with different isomeric, diastereomeric, and enantiomeric Some members of this group have been reported to act as a pheromone in some mammalian species - for instance,  $5\alpha$ -androst-16-en-3-one and  $5\alpha$ -androst-16en-3 $\alpha$ -ol in pigs (Melrose, D.R., et al., Br. vet. J. (1971) 127:497-502). These 16-Androstenes produced by the boar induce mating behavior in estrus sows (Claus, et al., Experimentia (1979) 35:1674-1675).

- 15 Some studies have noted that, in some species, various characteristics of certain 16-Androstenes (including  $5\alpha$ -Androst-16-en-3 $\alpha$ 2-ol and  $5\alpha$ -Androst-16-en-3-one), such as concentration, metabolism, and localization, are sexually dimorphic (Brooksbank et al., <u>J. Endocr</u>. (1972) <u>52</u>: 239-251; 20 Claus, et al., J. Endocr. (1976) 68:483-484; Kwan, et <u>al., Med. Sci. Res.</u> (1987) <u>15</u>:1443-1444). instance,  $5\alpha$ -Androst-16-en-3 $\alpha$ -ol and  $5\alpha$ -Androst-16en-3-one, as well as Androsta-4,16-dien-3-one, have been found at different concentrations in the 25
  - peripheral blood, saliva and axillary secretions of men and of women (Kwan, T.K., et al., Med. Sci. Res. (1987) 15:1443-1444), and their function as a human pheromone, to the extent of affecting choice and judgement, has been suggested (Id.; see also Gower,
  - et al., "The Significance of Odorous Steroids in Axillary Odour", In, Perfumery, pp. 68-72, Van Toller and Dodd, Eds., Chapman and Hall, 1988); Kirk-Smith, D.A., et al., Res. Comm. Psychol. Psychiat. Behav.
- (1978)  $\underline{3}$ :379). Androstenol ( $5\alpha$ -androst-16-en- $3\alpha$ -ol) 35

has been claimed to exhibit a pheromone-like activity in a commercial men's cologne and women's perfume (Andron for men and Andron for women by Jövan). Japanese Kokai No. 2295916, refers to perfume 5 compositions containing androstenol and/or its analogues. 5lpha-Androstadien-3eta-ol (and perhaps the  $3\alpha$ -ol) has also been identified in human axillary secretion (Gower, et al., Supra at 57-60. On the other hand, there is little agreement in the 10 literature as to whether or not any putative pheromone actually plays any role in the sexual or reproductive behavior of mammals, particularly of humans. See: Beauchamp, G.K., et al., " The Pheromone Concept in Mammalian Chemical 15 Communication: A Critique", In: Mammalian Olfaction,

Communication: A Critique", In: <u>Mammalian Olfaction</u>,

<u>Reproductive Processes and Behavior</u>, Doty, R.L., Ed.,

Academic Press, 1976). See also: Gower, <u>et al.</u>,

<u>supra</u> at 68-73.

An embodiment of the subject invention 20 concerns the non-systemic, nasal administration of certain Androstane and Androstene steroids to affect a specific behavioral or physiological response in human subjects, e.g., a reduction of negative affect, mood, and character traits. In particular, nasal administration provides for contacting neurochemical 25 receptors of a heretofore poorly understood neuroendocrine structure, commonly known as the vomeronasal organ ("VNO"; also known as "Jacobson's organ"), with one or more steroid(s) or with 30 compositions containing the steroid(s). is accessed through the nostrils of most higher animals - from snakes to humans, and has been associated, inter alia, with pheromone reception in certain species (see generally Muller-Schwarze & Silverstein, Chemical Signals, Plenum Press, New York 35

The axons of the neuroepithelia of the vomeronasal organ, located supra palatinal, form the vomeronasal nerve and have direct synaptic connection to the accessory olfactory bulb and indirect input 5 from there to the cortico-medial amygdaloid basal forebrain and hypothalamic nuclei of the brain.

distal axons of terminalis nerve neurons may also serve as neurochemical receptors in the VNO. Stensaas, L.J., et al., J. Steroid Biochem. and

Molec. Biol. (1991) 39:553. This nerve has direct synaptic connection with the hypothalamus.

Johnson, A. et al. (J. Otolaryngology (1985) 14:71-79) report evidence for the presence of the vomeronasal organ in most adult humans, but conclude that the organ is probably non-functional. Contravening results which suggest that the VNO is a functional chemosensory receptor are reported by Stensaas, L., et al., supra; and by Moran, D.T., et al., Garcia-Velasco, J. and M. Mondragon; Monti-20 Bloch, L. and B. Grosser all in J. Steroid Biochem. and Molec. Biol. (1991) 39.

It is apparent that it would be desirable to identify and synthesize human semiochemicals and pheromones and to develop pharmaceutical compositions and methods-of use to influence hypothalamic 25 function. This invention relates to the unexpected discovery that, when nasally administered to human subjects, certain neurochemical ligands, particularly Androstane steroids, more particularly Androstene 30 steroids and related compounds, or pharmaceutical compositions containing Androstanes, Androstenes or related compounds, specifically bind to chemoreceptors of certain nasal neuroepithelial cells and this binding generates a series of neurophysiological responses resulting in an

alteration of hypothalamic function of an individual. When properly administered, the effect of certain of these compounds on the hypothalamus affects the function of the autonomic nervous system and a 5 variety of behavioral-or physiological phenomena which include, but are not limited to the following: anxiety, premenstrual stress, fear, aggression, hunger, blood pressure, and other behavioral and physiological functions normally regulated by the hypothalamus. Otto Appenzeller. The Autonomic Nervous System. An introduction of basic and clinical concepts (1990); Korner, P.I. Central nervous control of autonomic cardiovascular function, and Levy, N.M. and Martin, P.J. Neural control of the 15 heart, both in Handbook of Physiology; Section 2: Cardiovascular System - the heart, Vol I, Washington DC, 1979, American Physiological Society; Fishman, A.P., et al. editors, Handbook of Physiology. Section 3: Respiratory System. Vol. II. Control of breathing. Bethesda MD. 1986. American . 20 Physiological Society.

In some instances a single Androstane steroid, or related compound, is administered, in some instances combinations of Androstane steroids and/or related compounds are administered and in some instances one or more Androstane steroids are coadministered along with one or more Estrane or Estrene steroids or a related compound.

#### SUMMARY OF THE INVENTION

Accordingly, it is an object of this invention to provide pharmaceutical compositions which contain human semiochemicals or pheromones and are suitable for nasal administration in an individual.

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It is also an object of this invention to provide methods of using these compositions to alter hypothalamic function of an individual.

It is a further object of this invention to provide methods of using these compositions to affect physiological and behavioral functions of individuals which are normally regulated by the hypothalamus.

Finally, it is an object of this invention to provide methods of altering hypothalamic function 10 which have the following advantages: 1) administration directly to the chemoreceptors in the nasal passage and the vomeronasal organ, without pills or needles - i.e., non-invasively; 2) a mode of drug action through the nervous system and not 15 through the circulatory system - thus brain function can be affected without consideration of the bloodbrain barrier; 3) a direct means of affecting the hypothalamus - there is only one synaptic junction between pheromone receptors and the hypothalamus; 20 and, 4) providing a highly specific drug effect, thereby greatly reducing the potential for undesirable side-effects - this because sensory nerves are addressed to a specific location in the brain.

Additional objects, advantages and novel features of the invention will be set forth in part in the description which follows, and in part will become apparent to those skilled in the art upon examination of the following, or may be learned by practice of the invention.

Objects of this invention are achieved by providing a pharmaceutical composition suitable for nasal administration in an individual. The composition contains a pharmaceutically acceptable carrier and an Androstane steroid with the formula:

wherein  $P_i$  is selected from the group consisting of oxo,  $\alpha$ -( $\beta$ -) hydroxy,  $\alpha$ -( $\beta$ -) acetoxy,  $\alpha$ -( $\beta$ -) propionoxy,  $\alpha$ -( $\beta$ -) methoxy,  $\alpha$ -( $\beta$ -) lower acyloxy,  $\alpha$ - $(\beta-)$  lower alkyloxy, and  $\alpha-(\beta-)$  benzoyloxy; P<sub>2</sub> is 5 selected from the group consisting of methyl, hydroxymethyl, acyloxymethyl, alkoxymethyl, lower alkyl, hydroxyalkyl, acyloxyalkyl, and alkoxylalkyl; P<sub>3</sub> is absent or is selected from the group consisting of methyl, hydroxymethyl, acyloxymethyl, 10 alkoxymethyl, lower alkyl, hydroxyalkyl, acyloxyalkyl, and alkoxylalkyl; P4 is selected from the group consisting of hydrogen, oxo, halo, hydroxy, alkoxy, and acyloxy; P5 represents one or 2 substituents, wherein Ps comprises one or two 15 hydrogen atoms, methyl, methylene, or one or two halo atoms; P6 is hydrogen or halo; and "a", "b", "c", "d", "e", "f", and "h" are alternative sites for optional double bonds.

One class of preferred steroids has "b" as a double bond, particularly wherein "c" or "d" is also a double bond. Another preferred class has "a" and "c" as double bonds. Yet another preferred class contains P<sub>3</sub> as a methyl group, "h" as an optional double bond, and P<sub>5</sub> as methylene or one or two

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hydrogen atoms. A class of steroids wherein "a" or "b" is a double bond is also preferred.

By halo, it is meant, F, Cl, Br, or I. The term lower alkyl, lower alkoxy, etc., is meant to encompass carbon chains of 1 to 6 carbon atoms, preferably 1 to 4 carbon atoms.

Other objects of this invention are achieved by providing a method of altering hypothalamic function and/or autonomic function in an individual. A ligand for a chemoreceptor displayed on the surface of a nasal neuroepithelial cell is provided wherein the cell is a part of tissue other than olfactory epithelia; and, the ligand is administered within a nasal passage of the individual such that the ligand binds specifically to the chemoreceptor, resulting in an alteration of hypothalamic function of the individual.

All embodiments of this application relate to and include the functional equivalents of the steroid structures disclosed in these embodiments and to those modified steroids which demonstrate said functional equivalence, whether or not the modified steroids are explicitly disclosed.

## BRIEF DESCRIPTION OF THE DRAWINGS

Figure 1 illustrates the synthesis of Androsta-4,16-dien-3-one, Androsta-4,16-dien-3 $\alpha$ -ol, and Androsta-4,16-dien-3 $\beta$ -ol.

Figure 2 illustrates the synthesis of Androsta-5,16-dien-3 $\alpha$ -ol and Androsta-5,16-dien-3 $\beta$ -ol.

Figure 3 illustrates an alternate synthesis of Androsta-4,16-dien-3-one.

Figure 4 is a graphic representation of the electrophysiological effect on receptor potential of

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the localized administration of particular steroids to the vomeronasal organ of female subjects (4A) and to the olfactory epithelium (4C). Figure 4B is a graphic comparison of the effect of an Androstane on the VNO receptor potential of male and female subjects.

Figure 5 is a graphic representation of the electrophysiological effect of the localized administration of particular steroids to the vomeronasal organ of male (5A) and female (5B) subjects.

Figure 6 depicts various autonomic responses of female subjects to an Androstane. A = receptor potential of the vomeronasal

15 neuroepithelium; B = change in cortical alpha
activity of an electroencephalogram (%); C = change
in galvanic skin response (K-ohms); D = change in
peripheral arterial pulse (counts/min.); E = change
in skin temperature (degrees C.); and, F = change in
20 respiratory frequency (counts/min.).

Figure 7 depicts changes in receptor potential of the VNO after exposure of 5 females to two different Androstanes.

Figure 8 depicts sexual dimorphism in local
and autonomic responses to the stimulation of the VNO
with vomeropherins. Various vomeropherins (200
fmoles) and the diluent control were administered to
30 male and 30 female subjects (ages 20 to 45) as
described. Bars indicate the mean response of the
population.

Figs. 8A & B: EVG responses were measured as described in male (A) and female (B) subjects.

Figs. 8C & D: Electrodermal activity was measured as described. Changes (measured in  $\kappa\Omega$ ) in response due to delivery of vomeropherins to the VNO of each subject are shown in male (C) and female (D) subjects.

Figs. 8E & F: Alpha-cortical activity was measured as described. Changes in response due to delivery of vomeropherins to the VNO of male (E) and female (F) subjects.

10 Figs. 8G & H: Skin temperature (ST) wad measured as described. Changes in response due to delivery of vomeropherins to the VNO of each subject are shown in male (G) and female (H) subjects.

The Compounds in the graphs are:

15 A = 1, 3, 5(10), 16-Estratetraen-3-yl acetate

B = Androsta-4,16-dien-3-one

C = 1,3,5(10),16-Estratetraen-3-ol

D = 3-Methoxy-Estra-1,3,5(10),16-tetraene

E = Androsta-4,16-dien-32-ol

20 F = Androsta- 4,16-dien-3 $\beta$ -ol

Figure 9 depicts electro-olfactgrams of male and female subjects induced by stimulation of the OE with olfactants and vomeropherins A: 400 fmoles of the olfactants 1-carvone and cineole as well as 200 fmoles of the vomeropherins A, B, C, D and F; and the stereoisomer E were applied separately as one second pulses to the OE of 20 subjects (both male and female) and each EOG response was recorded as described. The olfactants as well as E and B produced significant (p<0.01) local response. B: 400 fmoles of the olfactants 1-carvone and cineole do not

induce a significant EVG response when delivered to the VNO of male and female subjects.

Figure 10 depicts the electrophysiological effect of the following vomeropherins on the vomeronasal organ of 20 female subjects:

G = Androst-4-en-3-one

H = Androsta-4,16-diene-3,6-dione

J = 10,17-Dimethylgona-4,13(17)-dien-3-one

K = 1,3,5(10),16-Estratetraen-3-ol-methyl ether

10 L = 1,3,5(10),16-Estratetraen-3-yl-propionate

EVG = Electro-vomeronasogram

GSR = Galvanic Skin Response

= Electrodermal Activity, EDA

ST = Skin Temperature

Figure 11 depicts the electrophysiological effect of vomeropherins on the vomeronasal organ of 20 male subjects.

M = 1,3,5(10)-Estratrien-3-ol

#### DETAILED DESCRIPTION OF THE INVENTION

#### 20 I. <u>Definitions</u>

An "affect" is a transient feeling state. Typical negative affects are feelings of nervousness, tenseness, shame, anxiousness, irritability, anger, rage, and the like. "Moods" are longer lasting feeling states such as guilt, sadness, hopelessness.

- 25 feeling states such as guilt, sadness, hopelessness, worthlessness, remorsefulness, misery, unhappiness and the like. "Character traits" are more permanent aspects of an individual's personality. Typical negative character traits are sensitivity,
- 30 regretfulness, blameworthiness, stubbornness,

resentfulness, bitterness, timidness, laziness and the like.

"Androstane steroids" are aliphatic
polycyclic hydrocarbons characterized by a four-ring
steroidal structure with a methylation at the 10- and
13-positions. An Androstene is a subset of
Androstanes commonly understood to mean that the
compound has at least one double bond. Commonly,
unless a compound is described as a gonane, it is
understood that the compound has an 18- carbon group.
However, it is intended herein that 18-NorAndrostanes are herein regarded as 16-Androstane
steroids. Furthermore, all derivatives which have
the structural characteristics described above are
also referred to generically as Androstane steroids.

A "chemoreceptor" is a receptor molecule displayed on the surface of a "chemosensory" neuroepithelial cell which binds in a stereospecific fashion to a particular ligand or ligands. This specific binding initiates a signal transduction which initiates an afferent nerve impulse. Chemoreceptors are found, inter alia, in taste buds, olfactory epithelium and vomeronasal tissue.

"Estrene steroids", as the term is used

25 herein, are aliphatic polycyclic hydrocarbons with a
four-ring steroidal structure, at least one double
bond in the A-ring, no methylation at the 10-position
and an oxo, hydroxyl or hydroxyl derivative such as
an alkoxy, ester, benzoate, cypionate, sulfate or

30 glucuronide, at the 3-position. Derivatives which
contain these structural characteristics are also
referred to generically as Estrene steroids.

The following structure shows the four-ring steroidal structure common to Androstane and Estrene steroids. In describing the location of groups and

substituents, the following numbering system will be employed:

"Sexually dimorphic" refers to a difference in the effect of, or response to, a pharmaceutical agent between males and females of the same species.

An "effective amount" of a drug is a range of quantity and/or concentration which brings about a desired physiological and/or psychological effect when administered to an individual in need of the 10 drug. In the present case, a needy individual is one with a physiological or behavioral trait which is normally regulated by the hypothalamus and wherein it is desirable to affect the function of the hypothalamus or the trait. The effective amount of a given drug may vary depending upon the function to be 15 affected, the desired effect, route of administration, and the like. For example, when the steroid is administered as a solution applied to the facial skin of a subject an effective concentration is from 1 microgram/ml to 100  $\mu g/ml$ , preferably 10 to 20 50  $\mu$ g/ml and most preferably 20 to 30  $\mu$ g/ml. the steroid is introduced directly into the VNO an effective amount is about 1 picogram to about 1 nanogram, more preferably about 10 picograms to about 50 picograms. When the steroid is administered to 25 the nasal passage, by ointment, cream or aerosol, or the like, an effective amount is about 100 pg to about 100 micrograms, preferably about 1 ng to about

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10 micrograms. It follows that some drugs may be effective when administered by some routes, but not effective when administered by other routes.

The "hypothalamus" is the portion of the 5 diencephalon comprising the ventral wall of the third ventricle below the hypothalamic sulcus and including structures forming the ventricle floor, including the optic chiasma, tuber cinereum, infundibulum, and mammillary bodies. The hypothalamus regulates the autonomic nervous system and controls several 10 physiological and behavioral functions such as the so-called fight and flight responses, sexual motivation, water balance, sugar and fat metabolism, hunger, regulation of body temperature, endocrine secretions, and others. The hypothalamus is also the source of vasopressin which regulates blood pressure, and oxytocin which induces parturition and milk release. All hypothalamic functions are potentially modulatable by the semiochemical therapy described 20 herein.

A "ligand", as used herein, is a molecule which acts as a chemical signal by specifically binding to a receptor molecule displayed on the surface of a receptor cell, thereby initiating a 25 signal transduction across the cell surface. Binding of ligands to chemosensory receptors can be measured. Chemosensory tissue, such as vomeronasal neuroepithelium or olfactory neuroepithelium, contains a multiplicity of neuroreceptors cells, each displaying at least one cell surface receptor. Many of the receptor molecules have identical ligand specificity. Therefore, when the tissue is exposed to a ligand for which it has specificity (for example a exposure of the VNO to a semiochemical) a summated

change in cell surface receptor potential can be measured.

As used herein, "lower alkyl" means a branched or unbranched saturated hydrocarbon chain of 1 to 4 carbons, such as, for example, methyl, ethyl, n-propyl, i-butyl and the like. "Alkoxy" as used herein is used in its conventional sense to mean the group -OR wherein R is alkyl as herein defined.

A "pheromone" is a substance that provides

10 chemical means of communication between members of
the same species through secretion and nasus
reception. In mammals pheromones are usually
detected by receptors in the vomeronasal organ of the
nose. Commonly, pheromones effect development,

reproduction and related behaviors. A
"semiochemical" is a more general term which includes
pheromones and describes a substance from any source
which functions as a chemosensory messenger, binds to
a specific neuroepithelial receptor, and induces a

20 physiological or behavioral effect. A "vomeropherin" is a semiochemical whose physiologic effect is mediated through the vameronasal organ.

A picogram (pg) is equal to .001 nanograms (ng). A ng is equal to .001 micrograms ( $\mu$ g). A  $\mu$ g 25 is equal to .001 mg.

## II. Modes for Carrying Out the Invention

A. Androstanes useful in the Invention

The invention is directed to a group of certain Androstane steroids. Testosterone (17
30 hydroxy-Androsta-4-en-3-one) is a typical Androstane.

Androstanes especially suitable for use in the present invention include those where, independently, p<sub>1</sub> = oxo, α-hydroxy, β-hydroxy; P<sub>2</sub> =

methyl, lower alkyl, hydroxymethyl, hydroxyalkyl;  $P_3$  = hydrogen or methyl;  $P_4$  = hydrogen, hydroxy, or oxo;  $P_5$  = hydrogen or methyl; and there is at least one double bond, asually at the 4- or 16- position.

Preferred Androstanes include Androsta-4,16-dien-3-one ( $P_1$  = oxo, a = double bond,  $P_2$  = methyl,  $P_3$ ,  $P_4$ ,  $P_5$  = hydrogen, commercially available from Steraloids, Inc.), Androsta-4,16-dien-3 $\beta$ -ol ( $P_1$  =  $\beta$ -OH, a = double bond,  $P_2$  = methyl,  $P_3$ ,  $P_4$ ,  $P_5$  =

hydrogen), and 6-keto-Androsta-4,16-diene-3-one ( $P_1 = oxo$ , a = double bond,  $P_2 = methyl$ ,  $P_3$ ,  $P_5 = hydrogen$ ,  $P_4 = oxo$ ), synthesis of which are described herein.

A subset of Androstanes within the group are believed to be novel. Syntheses are described herein for the following compounds as designated on the chart: 17-methylene-Androst-4-en-3β-ol (A3/N3), 17-methylene-Androst-4-en-3α-ol (A4/N3), 17-methylene-6-oxo-Androst-4-en-3-one (A6/N3), and 6β-OH-Androsta-4,16-dien-3-one (A11/N1).

20 Chart 1 includes androstanes to which the invention is directed, but do not limit its scope. The synthesis diagrams that follow depict intermediate and substructure syntheses for the preparation of these androstanes:

-17-CHART I: ANDROSTRANES

CHART I: ANDROSTRANES						
A\'	1	2	3	4		
1	o KNOV	VN O KNOW	IN O KNO			
2	HO KNOW	Ho CO	HO	HO CO		
3	HO KNOW	N HO KNOW		но		
4	HO KNOW!	HO KNOWN	HO TH	но		
5	KNOWN	KNOWN				
;	O CONTROL OF THE PROPERTY OF T	O KNOWN	، به	و الم		
	o KNOWN	HO	HO	HO		
N	Neo KNOWN	Meo KNOWN	Meo SS	MeO		
	o KNOWN	a composition	، ملائلة	on on the same		
F	10.00	HO	HO	но		
(	ОН		ОНОН	ООН		
	1 2 3	1 KNOW 2 HO KNOW 4 HO KNOWN 6 KNOWN Neo KNOWN HO KNOWN HO KNOWN HO KNOWN HO KNOWN HO KNOWN HO KNOWN	1 2  1 KNOWN OKNOWN 2 HO KNOWN HO KNOWN 4 HO KNOWN HO KNOWN 5 KNOWN KNOWN 6 KNOWN HO KNOWN 6 KNOWN HO KNOWN 6 KNOWN HO KNOWN 6 KNOWN HO KNOWN 7 KNOWN 8 KNOWN	AN 1 2 3  1 OKNOWN OKNOWN OKNOWN OKNOWN HOKNOWN HOKNOW		

## SUBSTRUCTURE SYNTHESES

Referring to the preceding table, the following are exemplary syntheses for intermediates in a given row (Al through All) or column (N1 through N4).

5 A1:

Type A

HO
$$Al(OPr^{i})_{3}$$

$$Al(OPr^{i})_{3}$$

$$Al(OPr^{i})_{3}$$

$$Al(OPr^{i})_{3}$$

$$Al(OPr^{i})_{3}$$

$$Al(OPr^{i})_{3}$$

A2:

This is a commercially available substructure, for example, DEHYDRO EPI ANDROSTERONE.

A3:

HO

NaBH<sub>4</sub>

(A1)

NaBH<sub>4</sub>

$$60\%$$

HO

 $(A3)$ 

(Michio Matsui and David K. Fukushima, <u>J. Org. Chem.</u>, 1970, Vol. 35, No. 3, p. 561-564).

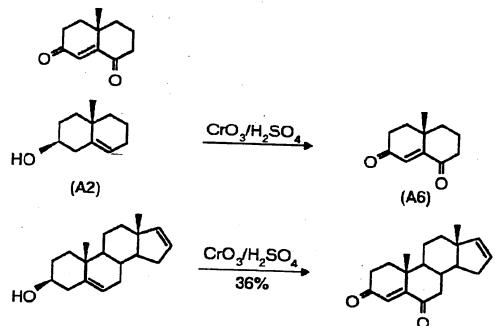
#### 5 A4:

Ohloff, G. et al. (<u>Helv. Chim. Acta</u> (1983) <u>66</u>: 192-217).

A5:

**A6**:

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J. Römer, H. Wagner, and W. Sihade, Steroids, 1988, 51/5-6, p. 577-581).

A7:

(Habermehl, et al., Z. Naturforsch. (1980) 256: 191-195).

5

-SEE Example 15-

A9:

Ohloff, G. et al. (<u>Helv. Chim. Acta</u> (1983) <u>66</u>:

#### 5 Al0:

V. I. Mel'nikova and K. K. Pivnitskii, Zhurnal Organickeskoi Khisnii, 1972, Vol. 8, No. 1, pp. 68-74).

All:

-SEE EXAMPLE 19-

-24-

N1:

#### Type N

N2:

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1. Robert H. Shapiro and Carl Djerassi, J. Am. Chem. Soc., 1964, <u>86</u>, 2825.

 Pilar Lupón, Frances C. Canals, Arsenio Iglesias, Joan C. Ferrer, Albert Palomar, and Juan-Julio Bonet,
 J. Org. Chem. 1988, <u>53</u>, 2193-2198. N3:

1. Günther Drefahl, Kurt Ponold and Hans Schick, Berichte, 1965, 98, 604.

5 2. Richard H. Peters, David F. Crows, Mitchell A. Avery, Wesley K. M. Chong, and Masako Tanabe, J. Med. Chem., 1989, 32, 1642.

N4:

10 1. Franz Sondheimer, O. Mancera, M. Urquiza & G. Rosenkranz, J. Am. Chem Soc., 1955, 77, 4145.

2. William F. Johns, J. Org. Chem., 1961, <u>26</u>, 4583.

#### <u>Methylandrostenes</u>

German Off. 2,631,915 teaches preparation of

with a methyl group at any one of the following 5 positions:  $1\alpha$ ,  $2\alpha$ , 4,  $6\alpha$ ,  $6\beta$ ,  $7\alpha$ , and 16.

15

## 6-METHYLANDROSTA-4,6-DIEN-3-ONE

German Off. 2,428,679.

## Synthesis of the 17-METHYLANDROSTENES:

Daniel Bertin and Lucien Nedelac, Mémoires Présentes 10 a la Société Chimique, 1964, No. 345, p. 2140.

Synthesizable compounds therefore include these, together with those derived from them; i.e., N1 with methyl at  $1\alpha$ ,  $2\alpha$ , 4,  $6\alpha$ , 66,  $7\alpha$ , 16 or 17 combined with A1, A3, A4, A5, A8, A9, A10 or A11, as well as A2 or A6 with a 17-methyl.

## <u>Haloandrostenes</u>

U.S. Patent 3,413,321.

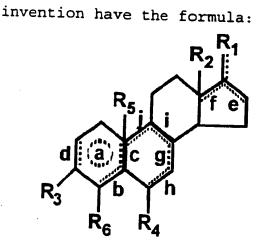
European Patent Application EP 208,497.

Synthesizable compounds therefore include these, together with those derived from them; i.e.,

(4-Chloro, 4-Bromo, 6α-Chloro, 6α-Bromo, 66-Chloro, 66-Bromo, or 66-Iodo)-Al in comination with N1, N2, N3, or N4. In addition, (17-Fluoro, 17-Chloro, 17-Bromo, or 17-Iodo)-N1 in combination with A1, A2, A3, A4, A5, A6, A8, A9, Al0 or All.

B. Estrenes useful in the Invention

The invention is additionally directed to compositions and methods involving the combination of the aforementioned Androstane steroids with certain Estrene steroids which are structurally related to Estradiol (also referred to as 1,3,5(10)-Estratriene-3,17ß-diol). Estrene steroids useful in this



wherein  $R_1$  is selected from the group consisting essentially of one or two hydrogen atoms, methyl, methylene, and one or two halo atoms; R2 is absent or is selected from the group consisting essentially of 5 hydrogen and methyl; R3 is selected from the group consisting essentially of oxo, hydroxy, lower alkoxy, lower acyloxy, benzoyl, cypionyl, glucuronide and sulfonyl;  $R_4$  is selected from the group consisting essentially of hydrogen, hydroxy, lower alkoxy, lower 10 acyloxy and halo; R<sub>5</sub> is absent or is selected from the group consisting essentially of hydrogen, hydroxy, lower alkoxy and lower acyloxy;  $R_6$  is a hydrogen or a halo; and "a" represents optional aromatic unsaturation of ring A of said steroid, or "b", "c", and "d" are each optional double bonds; and "e", "f", "g", "h", "i" and "j" are each optional double bonds. In this embodiment, the steroid is preferrably administered in the form of a pharmaceutical composition containing one or more 20 pharmaceutically acceptable carriers.

A preferred class of compounds are those in which "a" is present and "g", "h" or "i" are optional double bonds. The class wherein "h" and "i" are both double bonds is also preferred. Another preferred class contains "b", "c" or "j" as a double bond. Yet another class contains "c" and "d" as double bonds. Still another class contains  $R_2$  as methyl and (1) "e" as a double bond, (2)  $R_1$  is methylene or a single hydrogen, or (3) "f" is a double bond.

Preferred estrenes include 1,3,5(10)Estratriene-3, 17β-diol; 1,3,5(10)-Estratriene-3,16α,
17β-triol; 1,3,5(10)-Estratriene-3-ol-17-one;
1,3,5(10),16-Estratetraen-3-ol; 1,3,5 (10),16Estratetraen-3-ol methyl ether; and 1,3,5(10),1635 Estratetraen-3-yl acetate.

200).

Most of these steroids and their glucuronide, sulfate, cypionate, and benzoate derivatives, are compounds known in the art and are commercially available, e.g., from Sigma Chemical Co., Aldrich Chemical Co., etc. Alkoxy derivatives and their syntheses are also known in the art and shown in U.S. Patent No. 2,984.677.

1,3,5(10),16-Estratetraen-3-ol is available from Research Plus, Inc. and from Steraloids, Inc.

10 Another synthesis of this compound, as well as syntheses of the acetate and propionate derivatives are described in the commonly assigned, co-pending continuation-in-part of U.S.S.N. 07/903,525, which is in turn a continuation-in-part of U.S.S.N.

15 07/707,862, incorporated herein by reference.

### C. Synthetic Methods

1. Preparation of 3-, 5-, 6-, 18- and 19- position derivatives.

The compounds used in the methods of this

invention are Androstane steroids substituted at the

3-, 5-, 6-, 18- and 19- positions. Many of the 3
and 5-substituted steroids are known compounds which

may be derived from 17-hydroxy-and 17-oxo-steroids

(commercially available e.g. from Aldrich Chemical

Co) by elimination or reduction to the Δ16 homologue.

The syntheses of most of these compounds are

described by Ohloff (supra). As shown in Figure 1,

17β-hydroxy-5α-Androstan-3-one (I) and methyl

chloroformate (a) in pyridine gives the methyl

carbonate, 17β-methoxycarbonyloxy-5α-Androstan-3-one

(II) which provides a starting material for the 5α
Androst-16-en-(3-one and 3-ols) (Ohloff, supra at pg

Alkoxy derivatives are prepared from their corresponding hydroxy steroids by reaction with an alkylating agent such as trimethyloxonium fluoroborate, triethyloxonium fluoroborate or methylfluorosulfonate in an inert chlorocarbon solvent such as methylene chloride. Alternatively, alkylating agents such as alkyl halides, alkyl tosylates, alkyl mesylates and dialkylsulfate may be used with a base such as NaH, KM or KOBut, silver oxide or barium oxide in polar, aprotic solvents as for example, DMF, DMSO and hexamethylphosphoramide.

General procedures for synthetic reactions of steroids are known to those skilled in art. Where time and temperature of reactions must be determined, these can be determined by a routine methodology. After addition of the required reagents, the mixture is stirred under an inert atmosphere and aliquots are removed at hourly intervals. The aliquots are analyzed by chromatography to monitor the disappearance of starting material, at which point

the work-up procedure is initiated. If the starting material is not consumed within twenty-four hours, the mixture is heated to reflux and hourly aliquots are analyzed, as before, until the starting material disappears. In this case the mixture is allowed to cool before the work-up procedure is initiated.

Purification of the products is accomplished by means of chromatography and/or crystallization, as known to those skilled in the 30 art.

2. Preparation of 19-OH derivatives

Synthesis of 19-OH-Androsta-4,16-diene-3-one.

This compound has been disclosed as an intermediate in the synthesis of 19-oxo-3-aza-A-homo-5B-androstane (Habermehl, et al., Z. Naturforsch. (1970) 25b:191-195). A method of synthesizing this compound is provided.

## D. Pharmaceutical Compositions and Methods of Use

An embodiment of the subject invention is a method of altering the hypothalamic function of an 10 individual. Another embodiment is altering an autonomic function of an individual. These autonomic functions include but are not limited to heart rate, respiratory rate, brain wave patterns (percentage alpha cortical activity), body temperature. Other 15 embodiments include, but are not limited to, methods of diminishing negative affect, negative mood or negative character traits of an individual. embodiment is a method of treating female premenstrual stress. All of these embodiments are 20 accomplished by means of the non-systemic, nasal administration of certain 16-Androstene steroids, combinations of 16-Androstene steroids and combinations of one or more 16-Androstene steroids and one or more Estrene steroids.

This particular mode of administration is distinguished from alternative modes, such as ingestion or injection, in several important ways, these by virtue of the direct contact with the VNO provided by the nasal administration of the steroid ligand. In the methods of this invention, the appropriate ligand is administered directly to the chemoreceptors in the nasal passage and the vomeronasal organ, without pills or needles - i.e., non-invasively. Drug action is mediated through

binding of the ligands, described herein, to specific receptors displayed by neuroepithelial cells in the nose, preferably in the VNO. This Furthermore, the mode of drug action is through the nervous system and not through the circulatory system - thus brain function can be affected without consideration of the blood-brain barrier. These methods of treatment provide a direct means of affecting the hypothalamus through the nervous system because there is only one synaptic junction between pheromone receptors and the hypothalamus. Because sensory nerves are addressed to a specific location in the brain, this method has a highly specific drug effect, thereby greatly reducing the potential of undesirable side-effects.

15 VNO contact is important because the VNO is associated with chemoreceptive/pheromonal function. The VNO consists of a pair of blind tubular diverticula which are found at the inferior margin of the nasal septum. The VNO contains neuro-epithelia, 20 the axons of which have direct synapses to the amygdala and from there, to the hypothalamus. The existence of the VNO has been well documented in most terrestrial vertebrates including the human fetus; however, in adult humans it is generally thought to 25 be rudimentary (See Johnson, et al., supra).

The ligand substances described herein, or their sulfated, cypionated, benzoated, propionated, or glucuronated derivatives, may be administered directly, but are preferably administered as compositions. They are prepared in a liquid dosage form such as, for example, liquids, suspensions or the like, preferably in unit dosage forms suitable for single administration of precise dosages. Liquid dosages may be administered as nose drops or as an aerosol. Alternatively, the active compound can be

prepared as a creme or an ointment composition and applied topically within the nasal cavity. As another alternative, delivery may occur by controlled release of these agents by encapsulation either in bulk or at a microscopic level using synthetic polymers, such as silicone, and natural polymers such as gelatin and cellulose. The release rate can be controlled by proper choice of the polymeric system

- used to control the diffusion rate (Langer, R.S. and Peppas, N.A., Biomaterials 2,201, 1981). Natural polymers, such as gelatin and cellulose slowly dissolve in a matter of minutes to hours while silicone remains intact for a period of months. The compositions will include a conventional
- pharmaceutical carrier or excipient, one or more of the active 16-Androstene compound(s) of Formula I, and the composition may or may not additionally include one or more Estrene steroids. In addition, the compositions may include other medicinal agents, pharmaceutical agents, carriers, adjuvants, etc.

The most likely means of communication of a semiochemical ligand is the inhalation of a naturally occurring pheromone present on the skin of another. Several 16-Androstene steroids, including  $5\alpha$ -Androst-

- 25 16-en-3 $\alpha$ -ol and 5 $\alpha$ -Androst-16-en-3-one, 4, 16-Androstadien-3-one, 5 $\alpha$ -Androstadien-3 $\beta$ -ol, and perhaps 5 $\alpha$ -Androstadien-3 $\alpha$ -ol, are naturally occurring in humans and may be present on the skin. It is estimated that the naturally occurring maximum
- 30 concentration of a 16-Androstene steroid on human skin is from 2 to 7 ng/cm². During intimate contact it is estimated that a human would be exposed to no more than 700 ng of a naturally occurring steroid. Since these compounds are relatively nonvolatile, it
- 35 is estimated that, even during intimate contact, a

human subject would inhale no more than 0.7 pg of a naturally occurring steroid from the skin of another. From the amount inhaled only about 1% would reach the receptors of the vomeronasal organ. Thus the estimated maximum natural exposure to naturally produced pheromones would be 0.007 pg.

The amount of semiochemical ligand administered will of course, be dependent on the subject being treated, the severity of the 10 affliction, the manner of administration, the frequency of administration, and the judgment of the prescribing physician. However, a single dosage of at least about 10 picograms, delivered directly into the lumen of the vomeronasal organ, is effective in 15 eliciting a transient autonomic response. administered to the nasal cavity, the dosage is about 100 picograms to about 100 micrograms, preferably about 1 nanogram to about 10 micrograms, more preferably about 10 nanograms to 1 about microgram. The frequency of administration is desirably in the 20 range of an hourly dose to a monthly dose, preferably from 8 times/day to once every other day, more preferably 1 to 3 times per day. Ointments containing one or more active compounds and optional 25 pharmaceutical adjuvants in a carrier, such as, for example, water, saline, aqueous dextrose, glycerol, ethanol, and the like, can be prepared using a base such as, for example, petroleum jelly, lard, or lanolin.

Liquified pharmaceutically administrable compositions can, for example, be prepared by dissolving, dispersing, etc. an active compound as defined above and optional pharmaceutical adjuvants in a carrier, such as, for example, water, saline, aqueous dextrose, glycerol, ethanol, and the like, to

thereby form a solution or suspension. If desired, the pharmaceutical composition to be administered may also contain minor amounts of nontoxic auxiliary substances such as wetting or emulsifying agents, pH buffering agents and the like, for example, sodium acetate, sorbitan monolaurate, triethanolamine sodium acetate, triethanolamine oleate, etc. Actual methods of preparing such dosage forms are known, or will be apparent, to those skilled in this art; for example,

see <u>Remington's Pharmaceutical Sciences</u>, Mack Publishing Co., Easton, PA, 15th Ed., 1975. The composition or formulation to be administered will, in any event, contain a quantity of one or more of the active compound(s) in an amount effective to alleviate the symptoms of the subject being treated.

For aerosol administration, the active ingredient is preferably supplied in finely divided form along with a surfactant and a propellant.

Typical percentages of active ingredients are 0.001 to 2% by weight, preferably 0.004 to 0.10%.

Surfactants must, of course, be nontoxic, and preferably soluble in the propellant.

Representative of such agents are the esters or partial esters of fatty acids containing from 6 to 22 carbon atoms, such as caproic, octanoic, lauric, palmitic, stearic, linoleic, olestearic and oleic acids with an aliphatic polyhydric alcohol or its cyclic anhydride such as, for example, ethylene glycol, glycerol, erythritol, arabitol, mannitol, sorbitol, and hexitol anhydrides derived from sorbitol (the sorbitan esters sold under the trademark "Spans") and the polyoxyethylene and polyoxypropylene derivatives of these esters. Mixed esters, such as mixed or natural glycerides, may be employed. The preferred surface-active agents are

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the oleates or sorbitan, e.g., those sold under the trademarks "Arlacel C" (sorbitan sesquioleate), "Span 80" (sorbitan monoleate) and "Span 85" (sorbitan trioleate). The surfactant may constitute 0.1-20% by weight of the composition, preferably 0.25-5%.

The balance of the composition is ordinarily propellant. Liquefied propellants are typically gases at ambient conditions, and are condensed under pressure. Among suitable liquefied propellants are the lower alkanes containing up to five carbons, such as butane and propane; fluorinated or fluorochlorinated alkanes, such as are sold under the trademark "Freon". Mixtures of the above may also be employed.

In producing the aerosol, a container equipped with a suitable valve is filled with the appropriate propellant, containing the finely divided active ingredient and surfactant. The ingredients are thus maintained at an elevated pressure until released by action of the valve.

Yet another means of administration is topical application of a volatile liquid composition to the skin, preferably facial skin, of an individual. The composition will usually contain an alcohol such as ethanol or isopropanol. A pleasant odorant may also be included in the composition.

## F. Measuring Affect, Mood and Character Trait.

Feeling states associated with affects,

moods and character traits are generally measured by
use of a questionnaire. For example questionnaires
comprising a number of adjectives which refer to
feeling states may be administered to an individual.
The individual evaluates his or her feeling state

described by the adjective and rates the intensity of the feeling on a numerical scale. Clustering of re lated adjectives and statistical analysis of a subject's evaluation of each adjective provides a basis for the measurement of various feeling states.

Alternatively, feeling states may be measured by autonomic changes, such as those used in polygraphic evaluations (galvanic skin response, pulse rate and the like). Cabanac, M. Annual Review of Physiology (1975) 37:415; Hardy, J.D., "Body Temperature Regulation", Chapter 59, pp. 1417. In: Medical Physiology. Vol. IIEd.: VB Mountcastle (1980); Wolfram Bouscein. Electrodermal Activity (Plenum Press 1992). In addition, non-verbal cues such as facial expression and body posture may be evaluated.

#### G. Uses in the Treatment of Certain Types of Psychiatric Disorders.

Compositions suitable for topical and/or 10 intranasal administration, and which contain one or 20 more of the steroidal substances described herein, are useful as therapeutics with efficacy in the treatment of certain types of psychiatric disorders, particularly certain types of neuroses. preferred embodiment, and unlike other drug formulations designed to invoke a systemic effect, the composition is formulated to minimize the likelihood of mucosal or transdermal absorption since the mode of action of these substances as 30 therapeutics is by stimulation of neural receptors in the nose, more particularly in the VNO. Since the compositions of the instant invention are effective as the result of stimulation of chemosensory receptors in the VNO and not as a result of systemic circulation, absorption and the attendant

incorporation of the active ingredient or ingredients into systemic circulation would not be efficacious, but rather might actually increase the likelihood of side effects. These compositions are useful when administered in a method such that one or more of the Estrenes or 16-Androstenes of the composition are provided to the VNO of the subject.

Treatable neuroses may be acute and/or transient or they may be persistent or recurrent.

They generally involve abnormal symptoms that may include mood changes (anxiety, panic, depression) or limited abnormalities of thought (obsessions, irrational fears) or of behavior (rituals or compulsions, pseudoneurological or hysterical conversion signs). In such disorders, these compositions may have some beneficial effects for short periods, particularly by modifying associated anxiety or depression or the like.

Other characterological disorders may also
be treatable by the intranasal administration of the
compositions of this invention. These conditions
include characteristic personality styles, e.g.,
paranoid, withdrawn, psychopathic, hypochondrial and
the like; or behavioral patterns, e.g., abuse of
alcohol or other substances, socially deviant or
perverse behavior and the like that may run counter
to societal expectations.

Certain physical or physiological conditions, both normal (such as menstruation) and disease or injury related (such as chronic illness) may have a psychological component that manifests as a psychiatric disorder, neurotic disorder, or as an anxious or depressed affect or mood. These conditions are also treatable by methods of administration of the compositions of the present

invention such that one or more of the estrenes or androstenes of the composition are provided to the VNO of the subject.

#### i. Anxiety

Anxiety is a disagreeable emotional state 5 characterized by feelings of uneasiness, impending danger, apprehension or tension. Compositions suitable for intranasal administration, and which contain one or more of the Estrene steroid substances described herein, are useful as therapeutics with efficacy in the reduction of anxiety. There are numerous manifestations of this disorder ranging from mild perturbations to syndromes which can be incapacitating. Anxiety is not only a cardinal symptom of may psychiatric disorders but also a component of many normal physiological and social In addition, symptoms of anxiety are conditions. commonly associated with depression and especially with dysthymic disorder (neurotic depression) and 20 many personality disorders. Anxiety disorders can be separated and include panic disorders, phobias and generalized anxiety disorders, post-traumatic stress disorder and obsessive-compulsive disorder.

Drugs used in the treatment of anxiety
Drug treatment is the major treatment
method of anxiety, often used in conjunction with
behavior therapy. The drugs used most frequently to
treat anxiety are the benzodiazepines.
Benzodiazepines have hypnotic, sedative, anxiolytic,
anticonvulsant and muscle relaxant actions.
Consequently, they are used for may indications and
in many different conditions than anxiety disorders
(e.g., insomnia, alcohol withdrawal states, muscle
spasms, epilepsy, anesthesia and sedation for
endoscopic procedures). These drugs are relatively

safe, and have advantages in comparison with the previously used barbiturates. They have a rapid onset of action, and produces a sense of euphoria. However, despite their good safety record,

- benzodiazepines are associated with a number of properties that limit their use including sedation, ataxia, memory impairment, reduced motor coordination and dangerous addictive effects, especially when used with alcohol. Both physicians and patients are
- increasingly aware of this potential for addiction; and there is an evident desire, if not trend, to move away from their longterm use.

Recently, a new class of non-benzodiazepine anxiolytic agents, azaspirodecanediones, which may have more selective anxiolytic properties, has been approved. The first marketed member of this class is buspirone. This compound has been reported to be as effective as benzodiazepines in the treatment of Generalized Anxiety Disorder. Compared to

- benzodiazepines, buspirone is less sedating, potentiates the effect of ethanol to a lesser degree, and has a low addictive potential. However, in normal clinical use, it has been found to be difficult to substitute buspirone for benzodiazepines in patients already receiving benzodiazepines since
- in patients already receiving benzodiazepines since buspirone does not create a feeling of euphoria, and patients do not feel as satisfied as when taking benzodiazepines. In addition, buspirone's slow onset of action (1-3 weeks) limits its effectiveness in
- treating acute anxiety. Systemically administered buspirone anxiolytic has been shown to have a high affinity for the CNS serotonin 5-HT receptor and this is thought to be the mode of anxiolytic action.

Another class of new anxiolytic is the systemically administered benzofuran derivatives.

These substances demonstrate a high affinity for the CNS dopamine D2 receptor.

<u>iii.</u> Mood (Affective) disorders The compositions of the instant invention are also useful in the treatment of some of the symptoms of certain types of mood disorders. Mood disorders such as major depression and mania are characterized by changes in mood as the primary clinical manifestation. Either extreme of mood may be associated with psychosis, characterized by disordered delusional thinking and perceptions, often congruent with the predominant mood. Conversely, psychotic disorders may have associated or secondary changes in mood. Similarly, normal grief, sadness and disappointment and the dysphoria or 15 demoralization often associated with medical illness or with normal cyclic dysfunctions such as premenstrual stress may also be mitigated by the intranasal application of the compositions of the instant invention. 20

## <u>iv.</u> The mode of action of the compositions of the instant invention

The mode of action of the compositions of the instant invention is quite different from any modes of treatment that have been heretofore reported. The active steroid and steroid-like compounds described in the instant invention stimulate and/or bind to receptors in the VNO that are directly available to topical administration.

30 Direct access to CNS receptors is not required.

Stimulation of these receptors then produces a signal transmitted through a neural pathway and inducing a

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neuropsychic response, likely in the hypothalamic region of the subject's brain. This response manifests as discrete changes in a variety of autonomic functions, including but not limited to pulse rate, respiratory frequency, E.E.G. patterns, evoked potential, skin temperature, galvanic skin response and pupillary diameter. The response also manifests as a measurable reduction of negative affect and negative mood.

modification of hypothalamic function and the treatment of psychiatric disorders is not fully understood, it is clear that the compositions of this invention are effective in the reduction of self-evaluative negativity - negative mood characteristics as well as negative character. These changes are accompanied by autonomic changes, effected by the hypothalamus, that are consistent with an increase in parasympathetic tone (or alternatively a decrease in sympathetic tone).

Since the active compounds of this invention are pheromone-like in their specificity, the compounds exhibit a species specificity in their stimulation and activity add therefore demonstration of efficacy cannot be done in animal models.

#### III. Examples

The following examples are intended to illustrate but not to limit the invention.

Abbreviations used in the examples are as follows: aq.= aqueous; RT.=room temperature; PE=petroleum ether (b.p. 50-70°); DMF=N,N-dimethylformamide; DMSO=dimethyl sulfoxide; THF=tetrahydrofuran.

### Example 1 - Androsta-4.16-dien-3-one (4).

This synthesis is depicted in Figure 1. 10 Several methods are known for the conversion of testosterone into Androsta-4,16-dien-3-one (Brooksbank et al., Biochem. J. (1950) AZ:36). Alternatively, thermolysis (460°) of the methyl carbonate of testosterone gives Androsta-4,16-dien-3-15 one in 90% yield. 17B-MethoxyCarbonyioxy-androst-4en-3-one (IV) was prepared from testosterone (III. Fluka) with-methyl chloroformate/pyridine (a) in 76% yield (after recrystallization from MeOH). M.p. 140-141°,  $[a]_D = +95.4$ ° (c = 1.10) - IR. (CDCl<sub>3</sub>): 1740s, 1665s, 1450s, 1280s, - <sup>1</sup>H-NMR. (360 MHz): 0.87 (s, 3 H); 1.20 (s, 3 H); 3.77 (s, 3 H); 4.53 (br. t, J 8, 1 H); 5.75 (s, 1 H). A solution of the methyl carbonate IV in toluene was pyrolyzed (b) as described for I. Recrystallization of the crude 25

product from acetone at RT. gave pure ketone 4 in 90% yield. M.p. 127-129.5°, (a)<sub>D</sub> +118.9° (C = 1.32) ([3]: m.p. 131.5-133.5° (hexane), [a]<sub>D</sub><sup>16</sup> = +123±3.5° (C = 1.03)). - IR. (CDCl<sub>3</sub>): 3050w, 1660s, 1615m. -  $^{1}$ H-5 NMR. (360 MHz): 0.82 (s, 3 H); 1.22 (s, 3 H); 5.70 (m, 1 H); 5.73 (s, 1 H); 5.84 (m, 1 H).

Example 2 - Androsta-4,16-dien-3 $\alpha$ -ol (5) and -3 $\beta$ -ol (6).

These syntheses are depicted in Figure 1.

10 Androsta-4,16-dien-3-one (4) was reduced at -55° with lithium tris (1, 2-dimethylpropyl) hydridoborate in THF (c) as described for the preparation of 2 (Figure 1). Chromatography on silica gel with CH<sub>2</sub>Cl<sub>2</sub>/ethyl acetate 9:1 gave pure axial alcohol 5 (48% yield) and pure equatorial alcohol 6 (48% yield). Analytical samples were further purified by recrystallization (from PE at -30° for 5, from cyclohexane at RT. for 6).

Data of 5. M.p. 77-79°, [a]<sub>D</sub> +120.6° (C = 1.26) - IR. (CDCl<sub>3</sub>): 3620m, 3440m br., 1660m, 1595w.  $^{\perp}$ H-NMR. (360 MHz): 0.79 (s, 3 H); 1.02 (s, 3 H); 4.07 (m,  $w_{1/2} \approx 10$ , 1 H); 5.48 (d x d, J 5 and 2, 1 H); 5.71 (m, 1 H); 5.85 (m, 1 H).

Data of 6. M.p. 116.1190,  $[a]_D$  +53.9° (C 25 = 1.28) ([47): m.p. 116.1180,  $[^8)D$  +59.3° (C = 0.4) -

IR. (CDCl<sub>3</sub>): 3610m, 3420m br., 3050m, 1660m, 1590w.
-  $^{1}$ H- NMR. (360 MHz): 0.78 (s, 3 H); 1.08 (s, 3 H);
4.15 (m,  $w_{1/2} \approx 20$ , 1 H); 5.30 (m,  $w_{1/2} \approx 5$ , 1 H); 5.71 (m, 1 H); 5.85 (m, 1 H).

### 5 Example 3 - Androsta-5,16-dien-3 $\alpha$ -ol (7).

This synthesis is depicted in Figure 2. a solution of alcohol 8 (545 mg, 2.0 mmol) in acetone (100 ml) at 0°C under  $N_2$  was added rapidly Jones reagent (i, 1.5 ml, ca. 4 mmol). After 5 min., the mixture was poured into a dilute phosphate buffer (pH 7.2, 1200 ml) and extracted with ether. The extracts were washed with sat. aq. NaCl solution, dried (Na2SO4) and evaporated to give mainly Androsta-5,16dien-3-one as an oil (567 mg). The crude product was 15 dissolved In THF (7 ml) and reduced with lithium tris (1,2-dimethylpropyl) hydridoborate (c) at .55° as described for the preparation of 2. The crude product (530 mg) was chromatographed on silica gel (100 g) with  $CH_2Cl_2$ /ethyl acetate 4:1 to give 280 mg (51%) of pure a-alcohol 7 (eluted first) and 13 mg of 20 starting alcohol 8. A small sample of 7 was recrystallized from acetone/water at RT. M.p. 1380, [ $^{8}$ ]D -77.5° (c=1.2. - IR. (CDC1 $_{3}$ ): 3580m, 3430m, 1665w, 1590w, - <sup>1</sup>H-NMR. (360 MHz): 0.80 (s, 3 H);

1.06 (s, 3 H); 4.02 (m,  $W_{1/2}$ 8, 1 H); 5.44 (m, 1 H); 5.72 (m, 1 H); 5.86 (m, 1 H).

### Example 4 - Androsta-5,16-dien-3B-ol (8).

This compound was prepared in 73% yield by 5 a known procedure (Marx, A.F., et al., Ger. Offen. 2,631,915; Chem. Abst. 87:23614p (1977)) from commercial (Fluka) 3B-hydroxy-androst-5-en-17-one (VII). M.p. 137°, [a]<sub>D</sub> = -71.9° (c=1.5) ([48]: m.p. 140-141°, [a]<sub>D</sub> = 68°. - IR. (CDC1<sub>3</sub>): 3600m, 3420m br., 1670w, 1590w, -  $^{1}$ H-NMR. (360 MHz): 0.80 (s, 3 H); 1.05 (s, 3 H); 3.53 (m,  $w_{1/2}\approx22$ , 1 H); 5.38 (m, 1 H); 5.72 (m, 1 H); 5.86 (m, 1 H). This synthesis is depicted in Figure 4.

# Example 5 - Alternate synthesis of Androsta-4.16 15 dien-3-one (25).

The following method of synthesis is depicted in Figure 3:

Dehydroepiandrosterone p-Toluenesulfonylhydrazone (23)

Dehydroepiandrosterone (VII) (14.4 g, 50.0 m mole) and p-toluenesulfonylhydrazide (12.75 g, 68.5 m mole) in dry methanol (300 ml) were heated under reflux for 20 hours. The mixture was transferred to

a conical flask and allowed to cool. The crystalline product was filtered under suction and washed with methanol (50 ml). Further crops of product were obtained by sequentially evaporating the filtrate to 75 ml and 20 ml, and allowing crystallization each time. Total yield was 21.6 g (95%).

Androsta-5, 16-dien-3 $\beta$ -ol (24)

Dehydroepiandrosterone p-

toluenesulfonylhydrazone (23) (22.8g, 50.0 m mole) in 10 dry tetrahydrofuran (1.0 liters) was cooled in a dry ice/isopropanol bath, The-mixture was stirred while n-butyl lithium (125 ml of 1.6 M solution in hexane, 200 m mole) was added. The mixture was allowed to warm to room temperature and was stirred for 24 hours. Water (50 ml) was added with cooling in ice. 15 The mixture was poured into saturated ammonium chloride solution/ice (500 ml) and extracted with ether (x2). The organic layers were washed with saturated sodium bicarbonate solution (500 ml) and saturated sodium chloride solution (500 ml), dried 20  $(MgSO_4)$  and evaporated in vacuo to give the crude product. This was purified by flash chromatography on 190 g silica gel 60, 230-400 mesh, eluting with ethyl acetate/hexane (20:80→50:50) to give

crystalline material. The product was recrystallized from methanol (45 ml)/3% hydrogen peroxide -(8 ml) washing with methanol (30 ml)/water (8 ml) to give pure product (6.75 g, 50%).

#### 5 Androsta-4, 16-dien-3-one (25)

A solution of 10 g of Androsta-5,16-dien-3β-ol (24) in 475 cc of toluene and 75 cc of cyclohexanone was distilled (ca. 50 cc of distillate was collected) to eliminate moisture, 5 g of Al(OPri), 10 in 50 cc of toluene was added and the solution was refluxed for 1 hour. Water then was added, volatile components were removed by steam distillation and the residue was extracted with chloroform. Evaporation of the dried extract, followed by crystallization of 15 the residue from chloroform-hexane, yielded 7.53 g of Androsta-4,16-dien-3-one (25). Another 0.97 g (total, 8.5 g, 86%) was obtained by chromatography of the mother liquor on neutral alumina.

## Example 6 - Synthesis of Androsta-3,5,16-trien-3-yl 20 methyl ether (12).

To a partial solution of androsta-4,16-dien-3-one (1.00 g, 3.70 mmol) in 2.2-dimethoxypropane (5.0 mL, 41 mmol) and 5 mL DMF were added methanol (0.2 mL) and p-toluenesulfonic acid

monohydrate (26.4 mg, 0.139 mmol). The mixture was refluxed 5 h, after which it was cooled and sodium bicarbonate (152.5 mg) was added. The suspension was partitioned between 50 mL of ice water and 50 mL of ethyl acetate. The organic layer was washed with two 50 mL portions of water + 50 mL of brine, dried over magnesium sulfate, filtered, and concentrated under reduced pressure. The residual oil was taken up in 50 mL of hot hexane and filtered through a 12 mm x 30 mm column of silica gel 60 using 150 mL of hot hexane. The combined filtrates were concentrated under reduced pressure and recrystallized from acetone/methanol to give white crystals (468.0 mg, 1.645 mmol, 44%), m.p. 83-92°C.

15 Example 7 - Synthesis of 17-methylene-Androst-4-enols.

To 20-homoandrosta-4,17-dien-3-one (119.0 mg, 0.4184 mmol) in 5 mL of methanol were added sodium borohydride (6.0 mg, 0.16 mmol) and 77 μL of water. After stirring 2 h further sodium borohydride (32.0 mg, 0.846 mmol) was added and the mixture was stirred overnight. After concentrating under reduced pressure the residue was purified by preparative TLC (5% ethyl acetate/hexane on silica gel) to give a

more polar (59.8 mg) and a less polar (1.7 mg) product.

# Example 8 - Synthesis of 17-methylene-6-oxo-Androsta-4-en-3-one.

5 To a cooled solution of 20-homoandrosta5,17-dien-3-ol (399.4 mg, 1.394 mmol) in 50 mL of acetone was added 2.67M Jones reagent (2.0 mL, 5.3 mmol). After stirring 1 h the reaction was quenched with isopropanol (1.0 mL, 13 mmol) and poured into

10 100 mL of water. The mixture was extracted three times with 50 mL portions of ethyl acetate and the combined organic extracts were washed with 50 mL of saturated sodium bicarbonate + 50 mL of brine. The organic phase was then dried over magnesium sulfate,

15 filtered, and concentrated under reduced pressure. The residue was recrystallized from 95% ethanol to give an almost white powder (177.8 mg, 0.5958 mmol, 43%), m.p. 113-115°C.

### Example 9 - Synthesis of 68-OH-Androsta-4,16-dien-3-20 one.

To a solution of androsta-3,5,16-trien-3-yl methyl ether, (12) (200.5 mg, 0.7049 mmol), in 5 mL of 1,2-dimethoxyethane (DME) and 1 mL of water was added m-chloroperbenzoic acid (MCPBA, 77.4%, 173.2

25

mg, 0.776 mmol) suspended in 5 mL of DME + 1 mL of water + 0.40 g of 5% (w/w) NaOH dropwise, with stirring, over a period of 90 min. After stirring 18 h further MCPBA (247.0 mg, 1.11 mmol) suspended in 10 5 mL of DME + 2 mL of water + 0.8 g of 5% (w/w) NaOH was added dropwise, with stirring, over 1 1/2 h. The reaction mixture was stirred 1/2 h and then poured into 25 mL of saturated sodium bicarbonate. aqueous mixture was extracted three times with 25 mL of ether and the combined organic extracts were 10 washed with 50 g of 5% (w/w) sodium thiosulfate + three 50 mL portions of brine, dried over magnesium sulfate, filtered through Celite, and concentrated under reduced pressure. The resulting crystalline residue was purified by preparative TLC (35% ethyl acetate/hexane on silica gel) followed by two-fold recrystallization from aqueous ethanol to give lustrous white platelets (102.3 mg, 0.3571 mmol, 51%), m.p. 165-166°C.

20 Example 10 - Electrophysiology of Androstane
Stimulation of the Human VNO and Olfactory
Epithelium.

A non-invasive method has been employed to record local electrical potentials from the human vomeronasal organ (VNO) and from the olfactory

epithelium (OE). Localized gaseous stimulation was applied to both nasal structures at different instances using specially designed catheter/electrodes connected to a multichannel drug delivery system. This electrode and delivery system has been described by Monti and Grosser (J. Steroid Biochem. and Molec. Biol. (1991) 39:573) and in commonly owned, copending USSN 07/771,414,

incorporated herein by reference. The local response of the VNO and the OE showed a correlation with the concentration of the ligand stimulus.

The study was performed on ten clinically normal (screened) volunteers - 2 males and 8 females, ranging in age from 18 to 85 years. The studies were conducted without general or local anesthetics.

The catheter/electrodes were designed to deliver a localized stimulus and simultaneously record the response. In the case of VNO recording, the right nasal fosa of the subject was explored using a nasoscope (nasal specule) and the vomeronasal opening was localized close to the intersection of the anterior edge of the vomer and the nasal floor. The catheter/electrode was gently driven through the VNO-opening and the electrode tip placed in the organ's lumen at 1 to 3 mm from the opening. The nasoscope was then removed. In the case of the OE,

recording the procedure was similar except the positioning of the catheter/electrode was gently placed deep in the lateral part of the medial nasal duct, reaching the olfactory mucosa.

- Localized gaseous stimulation was done through the catheter/electrode. A constant stream of clean, nonodorous, humidified air at room temperature was continuously passed through a channel of the stimulating system. The stimulating ligand
- substances were diluted in propylene glycol, mixed with the humidified air, and puffed for from 1 to 2 seconds through the catheter/electrode. It is estimated that this administration provides about 25 pg of the steroid-ligand to the nasal cavity.
- The results of this study are presented in Figures 4A, 4B, and 4C. The response is measured in millivolt-seconds (mV x s). Androsta-4,16-dien-3-one elicits a significantly stronger VNO response in females than do the other compounds tested (Fig. 4A).
- Furthermore, the VNO response to Androsta-4,16-dien3-one is sexually dimorphic twice as strong in
  females as it is in males (Fig. 4B). In contrast,
  the OE response in both males and females is low
  compared to a strong odorant such as clove (Fig. 4C).

Example 11 - Measurement of the Change in Receptor Potential of the Neuroepitihelium of the VNO in Response to Various Steroids.

The change in receptor potential in 5 response to five different ligands was measured in 40 female (Fig. 5A) and 40 male (Fig. 5B) subjects. Each subject was administered 60 pg of each of seven substances as indicated in the figure. substances were administered separately for 1 second, using the procedure described in Example 10. The 10 change in potential of the neuroepithelium of the VNO was recorded over time and the integral of the change in potential for each of the forty subjects was averaged. The results are shown in the figure. Comparison of Figures 5A and 5B show that each 15 steroid is sexually dimorphic in its activity, and that some ligand substances are stronger in males while others are stronger in females.

Example 12 - Measurement of Autonomic Responses to 16-Androstene Stimulation of the VNO.

Various autonomic parameters were monitored as Androsta-4,16-dien-3-one was administered to 40 female subjects using the procedure described in Example 10. Propylene glycol was also administered 25 as a control. The ligand was administered as a 1

second pulse. The change in autonomic function was first noted within 2 seconds and lasted for up to 45 seconds. As shown in Figure 6, when compared to a propylene glycol control, the Androstane induced a significant change in the integrated receptor potential in the VNO (6A), galvanic skin response (6B), skin temperature (6C), the percentage of cortical alpha wave activity as measured by electroencephalogram (6D), peripheral arterial pulse (6E), and respiratory frequency (6F).

# Example 13 - Comparison of the Change in Receptor Potential Induced by Two Androstane Steroids.

60 picograms of each ligand steroid and of a propylene glycol control were administered to 5

15 female subjects as described in Example 10. As shown in Figure 7, Androsta-4,16-dien-3β-ol induced a greater change in receptor potential than did Androsta-4,16-dien-3-one.

## Example 14 - Psychophysiological Effect of Androstane 20 Stimulation of the VNO.

The psychophysiological effect of
Androstane stimulation of the VNO was measured by the
coordinate administration of pheromone and
questionnaire evaluation of the subject before and

after administration. The questionnaire included a panel of adjectives used as part of the standard Derogatis Sexual Inventory evaluation.

The subjects were 40 women between the ages 5 of 20 and 45, all in good health. The women were randomly assigned - 20 exposed to placebo and 20 exposed to about 20 picograms of Androsta-4,16-dien-3-one, administered as described in Example 10, Subjects were given a 70 item questionnaire supra. evaluating feeling states immediately before and 30 10 minutes after administration of either placebo or experimental substance. The 70 adjectives of the questionnaire were randomly administered and subsequently clustered for evaluation based on their 15 relevance to each mood, feeling, or character trait. The results were as follows: Changes in feelings of social warmth, personal well-being, arousal/excitement, and aggression, from before administration to 30 minutes after administration, 20 were not significant in those exposed to the 16-Androstene compared to the changes resulting from administration of the control. However, the decrease in negative affect (nervous, tense, ashamed, anxious, irritable, angry, enraged - T-test: p<0.0001, Anova: p<0.04), negative mood and character (sensitive, regretful, blameworthy, guilty, remorseful, sad,

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hopeless, resentful, worthless, miserable, unhappy, bitter, timid - T-test: p<0.0004, Anova: p<0.06), and overall negativity (the combination of affect and character - T-test: p<0.0003, Anova: p<0.05) were highly significant after 16-Androstene administration as compared to administration of the control.

Overall, these results suggest a sedative and/or anti-anxiety, and/or anti-depressant effect of Androsta-4 , 16-dien-3-one when administered intranasally.

Example 15 - Treatment of Women for Premenstrual Stress.

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Women experiencing the symptoms of premenstrual stress (PMS) are provided with a

15 pharmaceutical preparation of an Androstane steroid (preferably Androsta-4,16-dien-3-one, or Androsta-4, 16-dien-3α(β)-ol) suitable for nasal administration. The steroid is provided as an ointment at a concentration of about 1 microgram/ml and about 0.1

20 ml is applied. The ointment is applied just inside the nare of each nostril, three times daily. A similar method of treating PMS uses an aerosol preparation of the same steroid. The aerosol is sprayed into each nostril threes times daily.

### Example 16 - Electrophysiological Studies

The following electrophysiological studies were performed in 60 clinically normal human volunteers of both sexes (30 male and 30 female) 5 whose ages ranged from 20 to 45 years. No anesthetics were used, and female subjects were excluded if pregnant.

The stimulation and recording system consists of a "multifunctional miniprobe" described 10 elsewhere (Monti-Bloch, L. and Grosser, B.l. (1991) "Effect of putative pheromones on the electrical activity of the human vomeronasal organ and olfactory epithelium," J. Steroid Biochem. Molec. Biol. 39:573-582.). The recording electrode is a 0.3 mm silver 15 ball attached to a small (0.1 mm) silver wire insulated with Teflon® the surface of the electrode is first treated to produce a silver chloride interface, and is then covered with gelatin It is

- positioned within a small caliber Teflon® catheter 20 (dia = 5 mm) such that the tip of the electrode protrudes approximately 2 mm. The Teflon® catheter is 10 cm in length and constitutes the terminal extension for a multichannel delivery system which delivers a continuous air stream carrying discreet 25 pulses of chemosensory stimuli. The air stream first
- passes into a small chamber and is bubbled through a

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solution containing either a vomeropherin or an olfactant in a diluent or the diluent alone. A solenoid is used to rapidly redirect the air stream from the chamber to a route which bypasses the

- chamber. This creates a discreet pulse of stimulant in the air stream. A second, outer Teflon® tube with a diameter of 2 mm surrounds the catheter-electrode assemblage, and its central end is connected to an aspirator that provides continuous suction of 3ml/s.
- This concentric arrangement of the outer suction tube allows the emitted chemosensory stimuli to be localized to an area we call a "minifield" (approx. dia = 1 mm), and it avoids diffusion of substances either to the area outside the intended stimulation
- site or into the respiratory system. The entire stimulating and recording assemblage may be positioned either on the neurosensory epithelium within the VNO, or on the surface of the olfactory or respiratory epithelium.
- 20 Electro-vomeronasogram (EVG): Recordings are carried out in a quiet room with the subject supine; the multi-functional miniprobe is initially stabilized within the nasal cavity using a nasal retractor placed in the vestibule. Reference and ground electrodes consist of silver discs (8 mm), both of which are positioned on the glabella.

The entrance to the VNO, or vomeronasal pit, is identified by first dilating the nasal aperture and vestibule. A 6x magnifying binocular loupe with halogen illumination is then used to introduce the tip of the Teflon® catheter and recording electrode assemblage into the VNO opening where it is stabilized at an approximate depth of 1 mm within the vomeronasal passage. Optimal placement of the recording electrode is signaled after testing for an adequate depolarization in response to a test substance.

Electrical signals from the recording electrode are fed to a DC amplifier after which they are digitized, computer monitored, and stored. peak-to-peak amplitude of the signals is measured, and the area under the depolarization wave is integrated, while continuously monitoring the signal both on the computer screen and on a digital oscilloscope. Artifacts produced by respiratory movements are deleted by training the subjects to 20 practice mouth breathing with velopharyngeal closure. Chemosensory Stimulants: Olfactory test substances are cineole, and 1-carvone; vomeropherins are A, B, C, D, E and F. Samples of vomeropherins in concentration of 25-800 fmoles are delivered in the continuous air stream for durations from 300

milliseconds to 1 second. Usually, intervals of 3 to 5 minutes separated each series of short test pulses. All components of the lines carrying the test stimuli are made of Teflon®, glass or stainless steel and are 5 carefully cleaned and sterilized before each use.

Electro-olfactgram (EOG): Olfactory recordings employed the same stimulating and recording multifunctional miniprobe as that used for the VNO. The tip was slowly introduced until the recording

electrode touched the olfactory mucosa. Adequate placement was signaled by a depolarization in response to a pulse of the odorant test substance.

Cortical evoked activity was induced by VNO stimulation with vomeropherins, and olfactory

15 stimulation with odorants delivered in 300 ms air pulses. It was recorded using standard electroencephalographic (EEG) electrodes placed at positions Cz-A1 and Tz-A1 of the international 10120 system; the ground electrode was placed on the

20 mastoid process. Electrodermal activity (EDA) was recorded using standard 8 mm silver electrodes in contact with palmar skin of the medial and ring

fingers respectively, through a conductive gel interface. Skin temperature (ST) was recorded by a small (1.0 mm) thermistor probe placed in the right ear lobe. Peripheral arterial pulse (PAP) was

monitored with a plethysmograph attached to the tip of the index finger. Respiratory frequency (RF) was measured with an adjustable strain gauge placed around the lower thorax. All electrical signals were 5 DC amplified, digitized (MP-100, Biopac Systems) and continuously monitored utilizing a computer. Statistical Analysis: EVGs or EOGS, peak-to-peak changes and frequency changes of other parameters were measured and statistically analyzed. 10 significance of the results was determined by either using paired t-tests or analysis of variance (ANOVA). Effect of Vomeropherins on the EVG: Each of the vomeropherins was found to produce a sexually dimorphic receptor potential (Fig. 8A-B). Recordings of the EVG were performed on 30 men and 30 women (ages 20 to 45). Vomeropherins were diluted and applied as 1 second pulses to the VNO with b minute intervals between pulses when questioned, the subjects were not able to "smell" or otherwise consciously detect any of the vomeropherins. 20 finding is in agreement with results previously reported (Monti-Bloch, L. and Grosser, B.1. (1991) "Effect of putative pheromones on the electrical activity of the human vomeronasal organ and olfactory epithelium, " J. Steroid Biochem. Molec. Biol. 39:573-

582.) which indicated that neither olfactory nor

vomeropherin test stimuli delivered to the VNO elicit a perceptible sensation at the delivered concentration.

Fig. 8A shows the average response of male 5 subjects (ages 20 to 38) to the diluent, and to equimolar quantities (100 fmoles) of five vomeropherins (A, B, C, D and F), and to E, a stereoisomer of F. The profile of the response to each of the substances was similar in all subjects 10 regardless of age, and no significant differences were revealed either by t-tests or by analysis of variance. For example, A, C and D produced significant effects ( $M_{15} = 11.4 \text{ mV}$ , SD = 3.6 mV;  $M_{76} =$ 6.4 mV, SD 2.5 mV, and  $M_{84} = 15.1$  mV, SD = 4.9mV; 15 p<0.01), that were consistent in all individual cases. Other vomeropherins depolarized the VNOreceptors to a much lesser extent, but with consistent mean response amplitudes from individual to individual. Vomeropherins active in male subjects produced larger responses than the diluent (p<0.001). B, F and similar concentrations of olfactants induced significantly reduced responses in the male VNO (Fig. 8A and Fig. 9).

A similar experimental protocol was

25 followed with the 30 female subjects (ages 20 - 45).

Among the vomeropherins, F (100 fmoles) produced the

most significant differences within the group (Fig. 8B). Here, A induced a small effect that was significantly different from F (p<0.01). In both populations of subjects, active vomeropherins induced 5 receptor responses having large standard deviations (Fig. 8). When the frequency distribution of the effects of A and F was studied in males and females respectively, we found a bimodal distribution. The significance of this observation is being studied at this point.

E, a stereoisomer of F, does not stimulate the VNO in female subjects while F does (Fig. 8B). This is a demonstration of the specificity of VNO recognition of vomeropherins. In this regard it is interesting to note that while F is a superior 15 vomeropherin, E generates a stronger olfactory effect than does F (Fig. 8B and Fig. 9). Effects of Vomeropherins on the EOG: The summated receptor potential from the olfactory epithelium (OE) 20 was recorded in 20 subjects: 10 males and 10 In contrast to the sensitivity of the VNO to vomeropherins, the OE is less sensitive to these substances. This is true for both males and females (Fig. 9A). The mean receptor potential amplitude ranged from 2.3 mV to 0.78 mV. In this study, B was

the only vomeropherin having significant effect in

the OE (p<0.02). Of the subjects questioned about odorant sensations following each stimulus presentation, 16 reported no olfactory sensation, while three males and one female described B as an unpleasant odor. This finding reveals that at the concentrations used in our study, most vomeropherins are not effective stimulants of the olfactory receptors, but do have a clear effect on vomeronasal receptors.

- Effects of Olfactants on the EVG and EOG: In contrast to vomeropherins, the olfactants 1-carvone and cineole produce only a minor local response in the VNO (Fig. 9B). This was true for both men and women. As expected, these olfactants produced a
- 15 strong response in both men and women (p<0.01) when locally applied to the OE (Fig. 9A). The diluent depolarized olfactory receptors to a lesser extent than cineole or 1-carvone (p<0.01), and it did not produce an olfactory sensation.
- Reflex Effects of Vomeropherins: Studies were conducted to determine the central nervous system (CNS) reflex responses to vomeropherin stimulation of the VNO. The sexually dimorphic local responses induced by vomeropherins (Fig. 8A and B) were
- 25 mirrored in the autonomic response of male & female subjects. In male subjects (Fig. 8C), A and C

decreased skin resistance (electrodermal activity = EDA) (p<0.01, n=30). In female subjects. (Fig. 8B), F and B produced greater decrease in EDA than A or C (p<0.01, n=30).

Vomeropherins A and C induced a significant increase in skin temperature (ST) (Fig. 8G) in 30 male subjects (p<0.01); however D induced significant temperature decrease (p<0.01). In 30 female subjects (Fig. 8H) B and F evoked a significant increase in skin temperature (ST) (p<0.01) compared to A and C. In female subjects vomeropherins produced changes in EDA and ST with a greater standard deviation than in males.

Cortical activity was recorded from Cz and

15 Tz in male and female subjects during application to
the VNO of air pulses (300 ms to 1 sec) containing
200 fmoles of vomeropherin (Fig. 8G and H). In males
(Fig. 8E) A, C and D significantly increased alpha
cortical activity with a latency of 270-380 ms. D

20 and A evoked the strongest effect (p< 0.01).

Synchronization of the EEG was sustained for 1.5 to
2.7 minutes after application of a single pulse of
active substance. In females (Fig. 8F), a single
pulse (200 fmoles) of B or F applied to the VNO

25 increased alpha cortical independent of the response
of olfactory receptors. We found characteristic

specificities in the response of the human VNO and the olfactory epithelium which suggests that they are independent functional systems with separate connections to the CNS (Brookover, C. (1914) The nervus terminalis in adult man. J. Comp. Neurol. 24:131-135.) There is also preliminary evidence that the EVG is not associated with trigeminal nociceptor endings since application of a local anesthetic (2% lidocaine) to the respiratory epithelium of the nasal septum neither blocks nor diminishes the EVG (Monti-Bloch, L. and Grosser, B.1. (1991) "Effect of putative pheromones on the electrical activity of the human vomeronasal organ and olfactory epithelium," J. Steroid Biochem. Molec. Biol. 39:573-582.), also, subjects failed to report sensations of pain as a 15 consequence of any of the stimulation procedures.

VNO receptors are clearly more sensitive to vomeropherins than to any of the olfactants tested; the opposite is true for olfactory receptors. While the OE may have receptor sites for some vomeropherins, the response specificity of the VNO is clearly different.

Sexual differences were noted in the specificities and effects of two groups of vomeropherins, A, C and D; and B and F. This suggests a possible receptor-related sexual

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dimorphism. The findings suggest the activation of components of the autonomic nervous system in the adult human by vomeropherin stimulation of the VNO.

Furthermore, the results suggest that

5 stimulation of the VNO with vomeropherins produces synchronization of the EEG (Fig. 8G and H) Thus, the evidence herein indicates that the vomeronasal system responds to a variety of chemosensory stimuli, and that some are able to induce reflex autonomic

10 activity.

#### CLAIMS

We Claim:

A pharmaceutical composition suitable for nasal administration in an individual, said
 composition comprising an Androstane steroid and a pharmaceutically acceptable carrier, wherein said steroid has the formula:

wherein  $P_1$  is selected from the group consisting of oxo,  $\alpha-(\beta-)$  hydroxy,  $\alpha-(\beta-)$  acetoxy,  $\alpha-(\beta-)$ 

- propionoxy, α-(β-) methoxy, α-(β-) lower acyloxy, α(β-) lower alkyloxy, and α-(β-) benzoyloxy; P<sub>2</sub> is
  selected from the group consisting of methyl,
  hydroxymethyl, acyloxymethyl, alkoxymethyl, lower
  alkyl, hydroxyalkyl, acyloxyalkyl, and alkoxylalkyl;
  P<sub>3</sub> is absent or is selected from the group consisting
- 15  $P_3$  is absent or is selected from the group consisting of methyl, hydroxymethyl, acyloxymethyl,

alkoxymethyl, lower alkyl, hydroxyalkyl,
acyloxyalkyl, and alkoxylalkyl; P4 is selected from
the group consisting of hydrogen, oxo, halo, hydroxy,
alkoxy, and acyloxy; P5 represents one or 2

substituents, wherein P5 comprises one or two
hydrogen atoms, methyl, methylene, or one or two halo
atoms; P6 is hydrogen or halo; and "a", "b", "c",
"d", "e", "f", and "h" are alternative sites for
optional double bonds.

- 2. A composition according to Claim 1 wherein "b" is a double bond.
  - 3. A composition according to Claim 2 wherein "c" or "d" is a double bond.
- 4. A composition according to Claim 1
  15 wherein "a" and "c" are double bonds.
  - 5. A composition according to Claim 1 wherein  $P_3$  is methyl, "h" is an optional double bond, and  $P_5$  is methylene or one or two hydrogen atoms.
- 6. A composition according to Claim 1 wherein  $P_3$  is methyl and "h" is a double bond.

- A composition according to Claim 6
  wherein said steroid is selected from the group
  consisting of Androsta-5,16-dien-3α-ol, Androsta4,6,16-triene-3-one; Androsta-4,16-dien-3,6-dione;
   Androsta-4,16-dien-19-ol-3-one;3-Methoxy-androsta3,5,16-triene; and Androsta-4-16,-dien-6α-ol-3-one.
  - 8. A composition according to Claim 1 wherein  $P_3$  is methyl.
- 9. A composition according to Claim 8

  10 wherein said steroid is Androst-4-en-3-one.
  - 10. A composition according to Claim 1 wherein  $P_5$  is methylene.
- 11. A composition according to Claim 10 wherein said steroid is selected from the group
  15 consisting of 17-Methylene-androst-4-en-3α-ol; 17-Methylene-androst-4-en-3β-ol; and 17-Methylene-6-oxo-androst-4-en-3-one.
  - 12. A composition according to Claim 1 wherein P<sub>5</sub> is methyl and "f" is a double bond.

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- 13. A composition according to Claim 12 wherein said steroid is  $10\alpha,17$ -Dimethyl-gona-4,17-dien-3-one.
- 14. A pharmaceutical composition according 5 to Claim 1 wherein "a" or "b" is a double bond.
- 15. The pharmaceutical composition of
   Claim 1 wherein said steroid is selected from the
   group consisting of Androsta-4,16-dien-3-one,
   Androsta-4,16-dien-3α-ol and Androsta-4, 16-dien-3β 10 ol, or mixtures of two or more thereof.
  - 16. The pharmaceutical composition of any of Claims 1 through 15 wherein said steroid is dissolved in said carrier.
- 17. The pharmaceutical composition of any
  15 of Claims 1 through 15 wherein said composition is in a liquid form.
  - of Claims 1 through 15 wherein said composition further contains a pharmaceutically acceptable ointment base.

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- 19. The pharmaceutical composition of any of Claims 1 through 15 which contains no more than one of said steroids.
- 20. The pharmaceutical composition of any 5 of Claims 1 through 15 which contains more than one of said steroids.
  - 21. The pharmaceutical composition of any of Claims 1 through 15 additionally comprising an Estrene steroid.
- 10 22. A method of altering a hypothalamic function of an individual, said method comprising:

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providing a ligand for a chemoreceptor displayed on the surface of nasal neuroepithelial cell of said individual wherein said cell is a part of tissue other than olfactory epithelia; and,

administering said ligand within a nasal passage of said individual such that said ligand binds specifically to said receptor and results in an alteration of hypothalamic function of said individual.

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23. A method of altering an autonomic function of an individual, said method comprising:

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providing a ligand for a chemoreceptor of a nasal neuroepithelial cell of-said individual wherein said cell is a part of tissue other than olfactory epithelia; and,

administering said ligand within a nasal passage of said individual such that said ligand binds specifically to said receptor and results in an alteration of hypothalamic function of said individual.

- 24. The method of Claim 23 wherein said neuroepithelial cell is located within a vomeronasal organ of said individual.
- 15 25. The method of claim 24 wherein said ligand comprises an Androstane.
  - 26. The method of claim 25 wherein said Androstane has the formula:

wherein  $P_1$  is selected from the group consisting of oxo,  $\alpha^-(\beta^-)$  hydroxy,  $\alpha^-(\beta^-)$  acetoxy,  $\alpha^-(\beta^-)$  propionoxy,  $\alpha^-(\beta^-)$  methoxy,  $\alpha^-(\beta^-)$  lower acyloxy,  $\alpha^-(\beta^-)$  lower alkyloxy, and  $\alpha^-(\beta^-)$  benzoyloxy;  $P_2$  is selected from the group consisting of methyl, hydroxymethyl, acyloxymethyl, alkoxymethyl, lower alkyl, hydroxyalkyl, acyloxyalkyl, and alkoxylalkyl;  $P_3$  is absent or is selected from the group consisting of methyl, hydroxymethyl, acyloxymethyl, acyloxymethyl, alkoxymethyl, lower alkyl, hydroxyalkyl

- alkoxymethyl, lower alkyl, hydroxyalkyl,
  acyloxyalkyl, and alkoxylalkyl; P4 is selected from
  the group consisting of hydrogen, oxo, halo, hydroxy,
  alkoxy, and acyloxy; P5 represents one or 2
  substituents, wherein P5 comprises one or two
- hydrogen atoms, methyl, methylene, or one or two halo atoms; P<sub>6</sub> is hydrogen or halo; and "a", "b", "c", "d", "e", "f", and "h" are alternative sites for optional double bonds.

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- 27. A method according to Claim 26 wherein "b" is a double bond.
- 28. A method according to Claim 27 wherein "c" or "d" is a double bond.
- 5 29. A method according to Claim 26 wherein "a" and "c" are double bonds.
  - 30. A method according to Claim 26 wherein  $P_3$  is methyl, "h" is an optional double bond, and  $P_5$  is methylene or one or two hydrogen atoms.
- 31. A method according to Claim 26 wherein  $P_3$  is methyl and "h" is a double bond.
- 32. A method according to Claim 31 wherein said steroid is selected from the group consisting of Androsta-5,16-dien-3α-ol, Androsta-4,6,16-triene-3-one; Androsta-4,16-dien-3,6-dione; 19-Hydroxy-androsta-4,16-dien-3-one;3-Methoxy-androsta-3,5,16-triene; and 6α-Hydroxy-androsta-4-16,-dien-3-one.
  - $^{33}$ . A method according to Claim 26 wherein  $P_3$  is methyl.

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- 34. A method according to Claim 33 wherein said steroid is Androst-4-en-3-one.
- 35. A method according to Claim 26 wherein  $P_5$  is methylene.
- 36. A method according to Claim 35 wherein said steroid is selected from the group consisting of 20-Homo-androsta-4,17-dien-3α-ol; 20-Homo androsta-4,17-dien-3β-ol; and 20-Homo androsta-4,17-dien-3,6-dione.
- 37. A method according to Claim 26 wherein  $P_5$  is methyl and "f" is a double bond.
  - 38. A method according to Claim 37 wherein said steroid is  $10\alpha,17$ -Dimethyl-gona-4,17-dien-3-one.
- 39. The method of Claim 26 wherein "a" or 15 "b" is a double bond.
  - 40. The method of Claim 26 wherein at least one Androstane steroid is selected from the group consisting of Androsta-4, 16-dien-3-one, Androsta-4,16-dien-3 $\alpha$ -ol and Androsta-4,16-dien-3 $\beta$ -ol.

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- 41. The method of Claim 40 wherein said steroid is Androsta-4, 16-dien-3-one.
- 42. The method of any of Claims 22 through
  40 wherein the amount of said ligand that is
  5 administered is at least about 100 picograms, but no
  more than about 100 micrograms.
- 43. The method of Claim 42 wherein the amount of said ligand that is administered is at least about 1 nanograms, but no more than about 10 micrograms.
  - 44. The method of Claim 43 wherein the amount of said ligand that is administered is at least about 10 nanograms, but no more than about 1 microgram.
- 15 45. The method of any of Claims 22 through 40 further comprising preparing a pharmaceutical composition of said ligand dissolved in a pharmaceutically acceptable carrier.
- 46. The method of Claim 45 wherein said 20 pharmaceutical composition is an ointment.

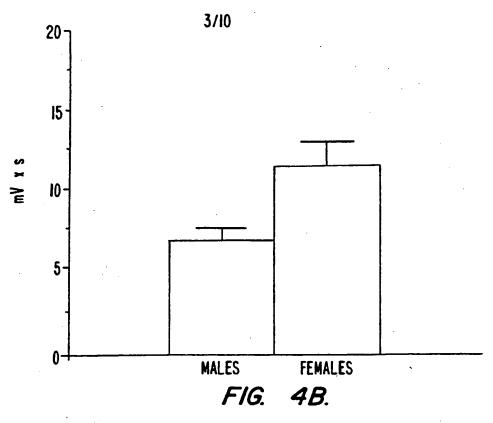
-80-

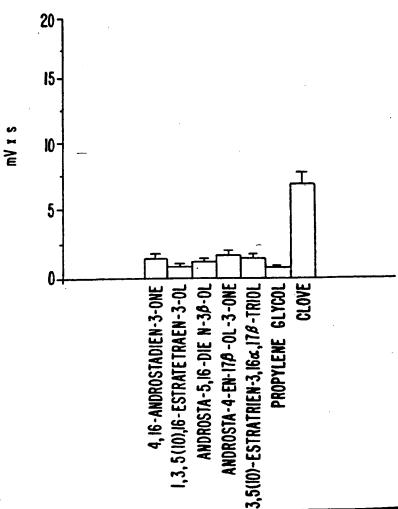
- 47. The method of Claim 45 wherein said pharmaceutical composition is liquid.
- 48. The method of Claim 45 wherein the administration is by aerosol.
- 5 49. The method of any of Claims 22 through 40 wherein more than one Androstane steroid is administered.
- 50. The method of any of Claims 22 through
  40 further comprising nasally co-administering to
  10 said individual an Estrene steroid.
  - 51. The method of any of Claims 22 through 40 wherein said function is the diminution of negative affect.
- $5\overline{2}$ . The method of any of Claims 22 through 15 40 wherein said individual is a woman.
  - 53. The method of Claim 52 wherein said alteration of hypothalamic function results in a reduction of premenstrual stress in women.

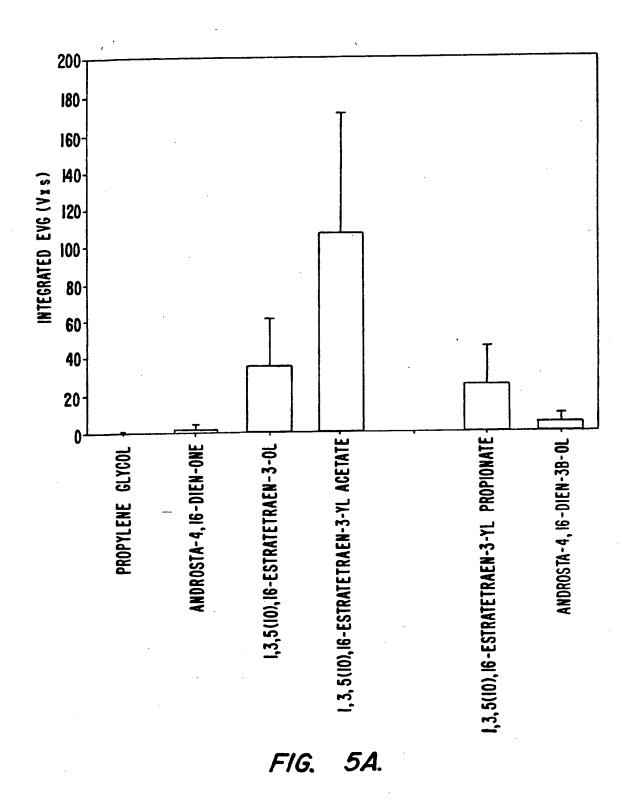
54. The method of any of Claims 22 through 40 wherein said function is the alleviation of the symptoms of psychoses, depression or anxiety.

5 R<sup>I</sup> - OH; R<sup>2</sup> - H (48%) 6 R<sup>I</sup> - H; R<sup>2</sup> - OH (48%) 1.

FIG.







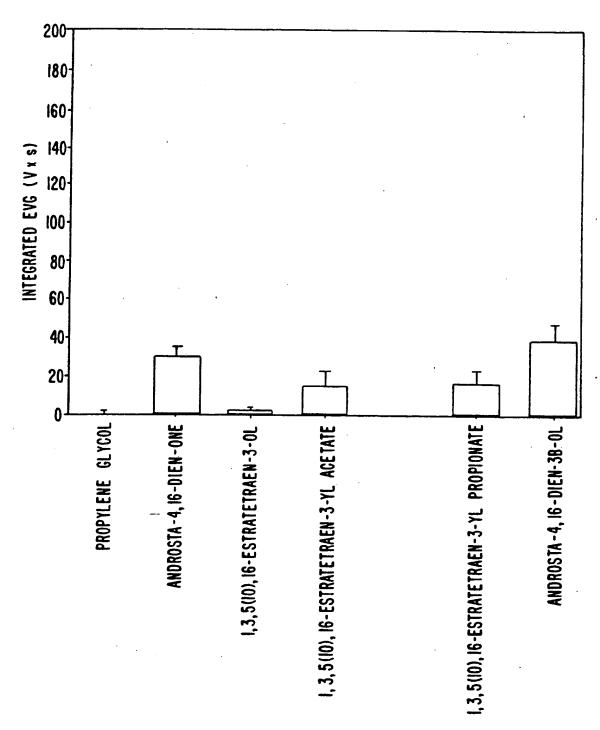
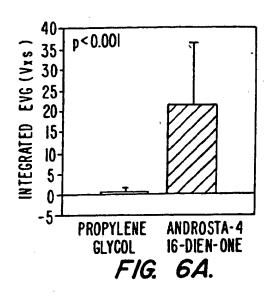
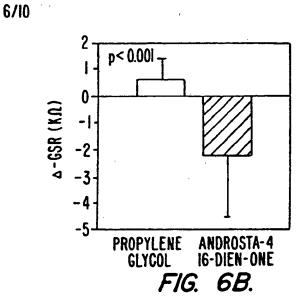
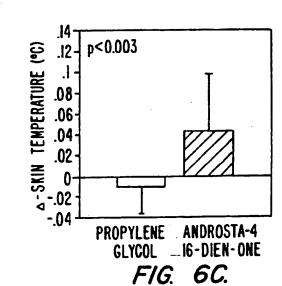
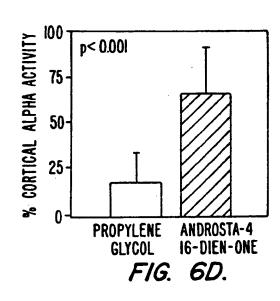


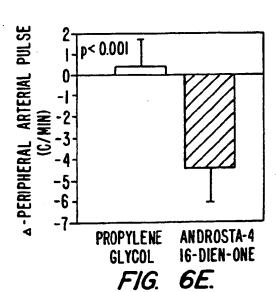
FIG. 5B.

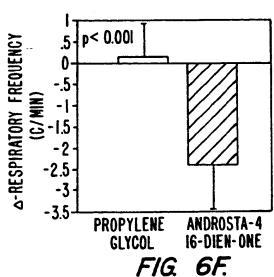


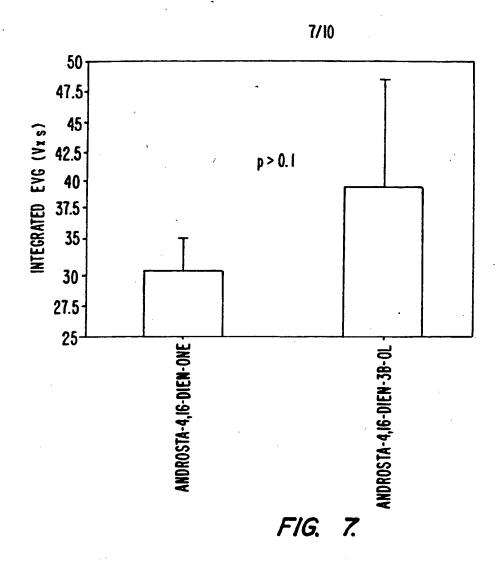


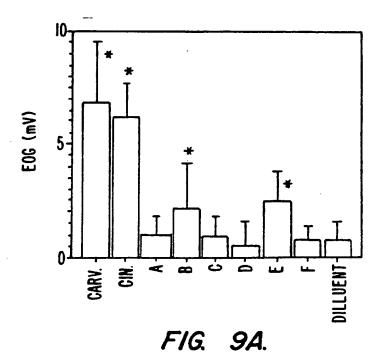


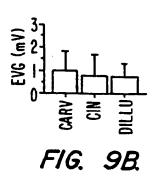


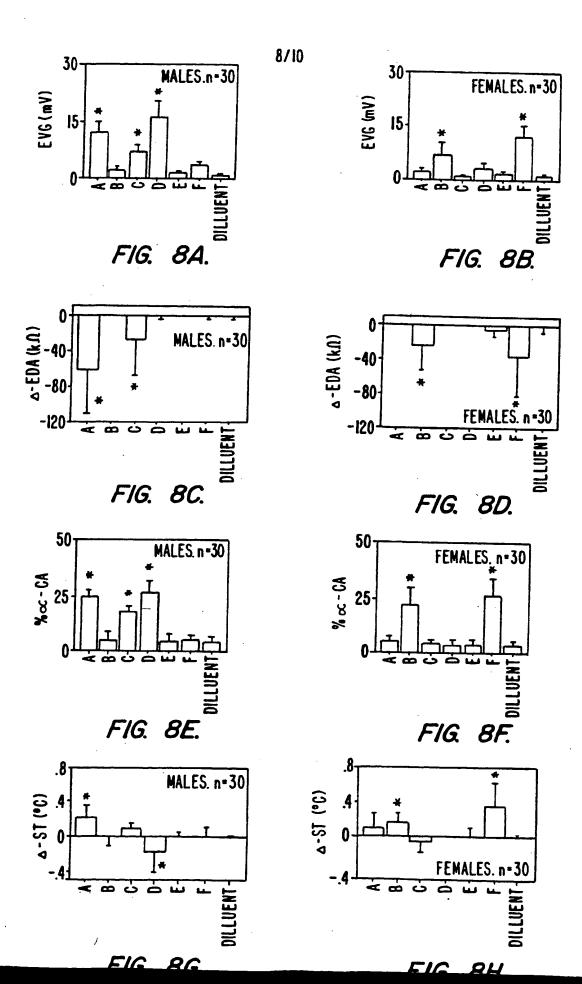


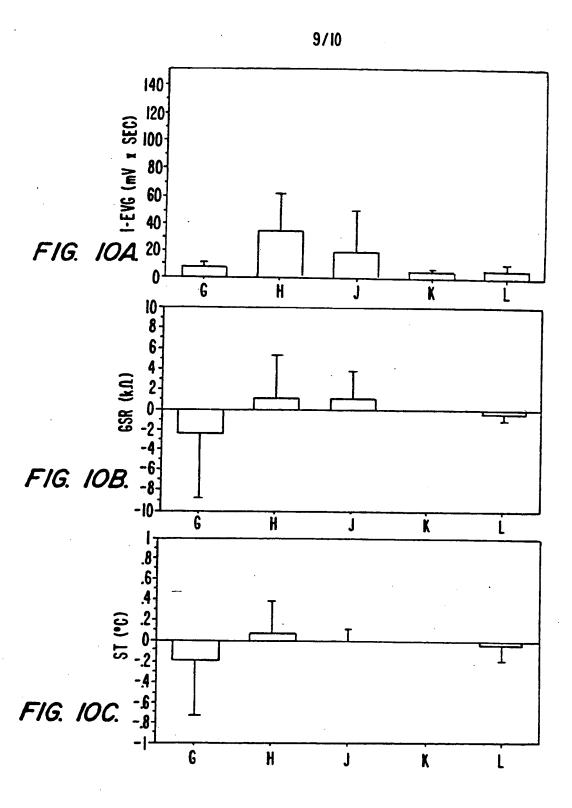


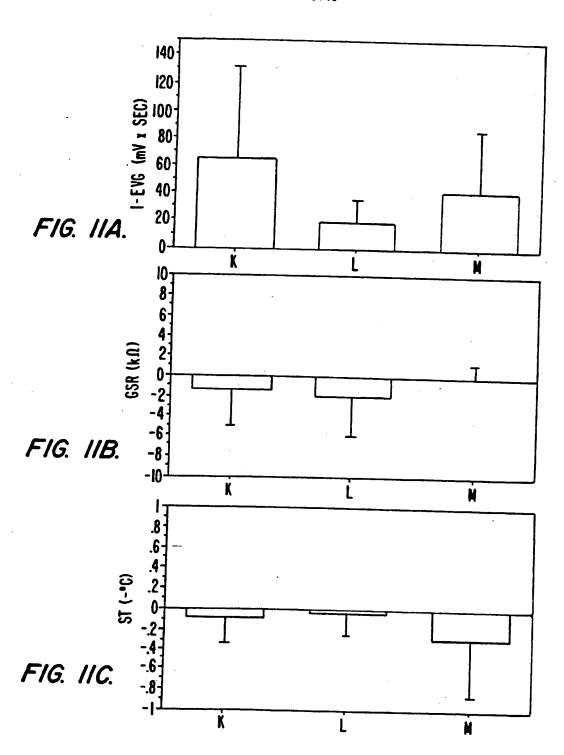












## PATENT COOPERATION TREATY

UNITED STATES
International Preliminary
Examining Authority
(IPEA/US)

In re

International Patent

Application

No: PCT/US93/09349

Filed: 28 September 1993

Applicant:

PHERIN CORPORATION

Title: ANDROSTENE STEROIDS)

AS NEUROCHEMICAL

INITIATORS OF CHANGE IN

HUMAN HYPOTHALAMIC

FUNCTION AND RELATED

PHARMACEUTICAL

COMPOSITIONS AND METHODS)

July 27, 1994

File No: 18136-0042

"EXPRESS MAIL" MAILING LABEL NUMBER TB231658625US DATE OF DEPOSIT JULY 27, 199

I HEREBY CERTIFY THAT THIS PAPER OR FEE IS BEING DEPOSITED WITH THE UNITED STATES POSTAL SERVICE "EXPRESS MAIL POST OFFICE TO ADDRESSEE" SERVICE UNDER 37 CFR 1.10 ON THE DATE INDICATED ABOVE AND IS ADDRESSED TO: THE COMMISSIONER OF PATENTS AND TRADEMARKS, WASHINGTON, DC 20231.

TYPED NAME JESSICA KANY

SIGNED

REQUEST FOR RECTIFICATION UNDER PCT RULE 91.1(f)

Honorable Commissioner of Patents and Trademarks Washington, DC 20231

sir:

This is in response to the Notification of Decision Concerning Request for Rectification

regarding the referenced application mailed May 2, 1994.

Applicant hereby requests, pursuant to Rule 91.1(f), that the International Bureau publish the subject Request for Rectification together with the international application.

The Commissioner is authorized to charge the required fee to Deposit Account 08-1641, Order No. 18136-0042.

The Commissioner is authorized to charge any additional fees or credit any overpayment to Deposit Account 08-1641, Order No. 18136-0042.

Respectfully submitted,

HELLER, EHRMAN, WHITE & MCAULIFFE

Ву

Reginald J. Suyat

Registration No. 28,172

333 Bush Street
San Francisco, California 94104-2878
(415) 772-6432

the 13-position and at the 10-position. Androstenes are a subset of Androstanes and have at least one double bond. Ohloff G. et al. (Helv. Chim. Acta (1983) 66:192-217), which is incorporated herein by 5 reference, have shown that several members of this group of steroids have an odor which varies with different isomeric, diastereomeric, and enantiomeric forms. Some members of this group have been reported to act as a pheromone in some mammalian species - for instance,  $5\alpha$ -androst-16-en-3-one and  $5\alpha$ -androst-16en-3α-ol in pigs (Melrose, D.R., et al., Br. vet. J. (1971) 127:497-502). These 16-Androstenes produced by the boar induce mating behavior in estrus sows

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(Claus, et al., Experimentia (1979) 35:1674-1675). 15 Some studies have noted that, in some species, various characteristics of certain 16-Androstenes (including  $5\alpha$ -Androst-16-en- $3\alpha$ -2-ol and  $5\alpha$ -Androst-16-en-3-one), such as concentration, metabolism, and localization, are sexually dimorphic 20 (Brooksbank et al., J. Endocr. (1972) 52: 239-251; Claus, et al., J. Endocr. (1976) 68:483-484; Kwan, et al., Med. Sci. Res. (1987) 15:1443-1444). For instance,  $5\alpha$ -Androst-16-en-3 $\alpha$ -ol and  $5\alpha$ -Androst-16en-3-one, as well as Androsta-4,16-dien-3-one, have 25 been found at different concentrations in the peripheral blood, saliva and axillary secretions of men and of women (Kwan, T.K., et al., Med. Sci. Res. (1987) 15:1443-1444), and their function as a human pheromone, to the extent of affecting choice and judgement, has been suggested (Id.; see also Gower, et al., "The Significance of Odorous Steroids in Axillary Odour", In, Perfumery, pp. 68-72, Van Toller

(1978) 3:379). Androstenol (5 $\alpha$ -androst-16-en-3 $\alpha$ -ol) 35

and Dodd, Eds., Chapman and Hall, 1988); Kirk-Smith, D.A., et al., Res. Comm. Psychol. Psychiat. Behav.

wherein P<sub>1</sub> is selected from the group consisting of
oxo, α-(β-) hydroxy, α-(β-) acetoxy, α-(β-)
propionoxy, α-(£-) methoxy, α-(β-) lower acyloxy, α(β-) lower alkyloxy, and α-(β-) benzoyloxy; P<sub>2</sub> is
selected from the group consisting of methyl,
hydroxymethyl, acyloxymethyl, alkoxymethyl, lower
alkyl, hydroxyalkyl, acyloxyalkyl, and alkoxylalkyl;
P<sub>3</sub> is absent or is selected from the group consisting
of methyl, hydroxymethyl, acyloxymethyl,
10 alkoxymethyl, lower alkyl, hydroxyalkyl,

- alkoxymethyl, lower alkyl, hydroxyalkyl, acyloxyalkyl, and alkoxylalkyl; P<sub>4</sub> is selected from the group consisting of hydrogen, oxo, halo, hydroxy, alkoxy, and acyloxy; P<sub>5</sub> represents one or 2 substituents, wherein P<sub>5</sub> comprises one or two
- hydrogen atoms, methyl, methylene, or one or two halo atoms; P<sub>6</sub> is hydrogen or halo; and "a", "b", "c", "d", "e", "f", and "h" are alternative sites for optional double bonds.

One class of preferred steroids has "b" as a double bond, particularly wherein "e" or "d" is also a double bond. Another preferred class has "a" and "c" as double bonds. Yet another preferred class contains P<sub>3</sub> as a methyl group, "h" as an optional double bond, and P<sub>5</sub> as methylene or one or two

Figs. 8C & D: Electrodermal activity was measured as described. Changes (measured in  $\kappa\Omega$ ) in response due to delivery of vomeropherins to the VNO of each subject are shown in male (C) and female (D) subjects.

Figs. 8E & F: Alpha-cortical activity was measured as described. Changes in response due to delivery of vomeropherins to the VNO of male (E) and female (F) subjects.

10 Figs. 8G & H: Skin temperature (ST) wad measured as described. Changes in response due to delivery of vomeropherins to the VNO of each subject are shown in male (G) and female (H) subjects.

The Compounds in the graphs are:

15 A = 1, 3, 5(10),16-Estratetraen-3-yl acetate

B = Androsta-4,16-dien-3-one

C = 1,3,5(10),16-Estratetraen-3-ol

D = 3-Methoxy-Estra-1,3,5(10),16-tetraene

 $E = Androsta-4, 16-dien-3\alpha-ol$ 

20 F = Androsta- 4,16-dien-3β-ol

Figure 9 depicts electro-olfactgrams of male and female subjects induced by stimulation of the OE with olfactants and vomeropherins A: 400 fmoles of the olfactants 1-carvone and cineole as well as 200 fmoles of the vomeropherins A, B, C, D and F; and the stereoisomer E were applied separately as one second pulses to the OE of 20 subjects (both male and female) and each EOG response was recorded as described. The olfactants as well as E and B produced significant (p<0.01) local response. B: 400 fmoles of the olfactants 1-carvone and cineole do not

resentfulness, bitterness, timidness, laziness and the like.

"Androstane steroids" are aliphatic
polycyclic hydrocarbons characterized by a four-ring
steroidal structure with a methylation at the 10- and
13-positions. An Androstene is a subset of
Androstanes commonly understood to mean that the
compound has at least one double bond. Commonly,
unless a compound is described as a gonane, it is
understood that the compound has a 19- carbon group.
Furthermore, all derivatives which have the
structural characteristics described above are also
referred to generically as Androstane steroids.

A "chemoreceptor" is a receptor molecule

displayed on the surface of a "chemosensory"

neuroepithelial cell which binds in a stereospecific

fashion to a particular ligand or ligands. This

specific binding initiates a signal transduction

which initiates an afferent nerve impulse.

20 Chemoreceptors are found, inter alia, in taste buds, olfactory epithelium and vomeronasal tissue.

"Estrene steroids", as the term is used herein, are aliphatic polycyclic hydrocarbons with a four-ring steroidal structure, at least one double bond in the A-ring, no methylation at the 10-position and an oxo, hydroxyl or hydroxyl derivative such as an alkoxy, ester, benzoate, cypionate, sulfate or glucuronide, at the 3-position. Derivatives which contain these structural characteristics are also referred to generically as Estrene steroids.

The following structure shows the four-ring steroidal structure common to Androstane and Estrene steroids. In describing the location of groups and

alkoxymethyl, lower alkyl, hydroxyalkyl,
acyloxyalkyl, and alkoxylalkyl; P<sub>4</sub> is selected from
the group consisting of hydrogen, oxo, halo, hydroxy,
alkoxy, and acyloxy; P<sub>5</sub> represents one or 2
substituents, wherein P<sub>5</sub> comprises one or two
hydrogen atoms, methyl, methylene, or one or two halo
atoms; P<sub>6</sub> is hydrogen or halo; and "a", "b", "c",
"d", "e", "f", and "h" are alternative sites for
optional double bonds.

- 2. A composition according to Claim 1 wherein P<sub>3</sub> a methyl or other lower alkyl.
  - 3. A composition according to Claim 1 wherein "b" is a double bond.
- 4. A composition according to Claim 3 wherein  $P_1$  is oxo.
  - 5. A composition according to Claim 4 wherein  $P_4$  is hydrogen.
- 6. A composition according to Claim 5
  wherein said steroid is selected from the group

  20 consisting of androsta-4,16-dien-3-one, androst-4-en3-one, 17-methylene-androst-4-en-3-one, 18-nor-17-

methyl-androsta-4,17(13)-dien-3-one, 19-hydroxy-androsta-4,16-dien-3-one, 19-hydroxy-androst-4-en-3-one, 19-hydroxy-17-methylene-androst-4-en-3-one and 18-nor-17-methyl-19-hydroxy-androsta-4,17(13)-dien-3-one.

7. A composition according to Claim 4 wherein  $P_4$  is oxo.

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- 8. A composition according to Claim 7 selected from the group consisting of androsta-4,16-10 dien-3,6-dione, androst-4-en-3,6-dione, 17-methylene-androst-4-en-3,6-dione and 18-nor-17-methyl-androsta-4,17(13)-dien-3,6-dione.
  - 9. A composition according to Claim 4 wherein  $P_4$  is hydroxy.
- 10. A composition according to Claim 9
  wherein said steroid is selected from the group
  consisting of 6α-hydroxy-androsta-4,16-dien-3-one,
  6α-hydroxy-androst-4-en-3-one, 17-methylene-6αhydroxy-androst-4-en-3-one and 18-nor-17-methyl-6α20 hydroxy-androsta-4,17(13)-dien-3-one.

- 11. A composition according to Claim 3 wherein  $P_1$  is hydroxy.
- wherein said steroid is selected from the group

  consisting of androsta-4,16-dien-3α-ol, androsta-4,

  16-dien-3β-ol, androst-4-en-3α-ol, androst-4-en-3β
  ol, 17-methylene-androst-4-en-3α-ol, 17-methylene
  androst-4-en-3β-ol, 18-nor-17-methyl-androsta-4,

  17(13)-dien-3α-ol, 18-nor-17-methyl-androsta-4,

  17(13)-dien-3β-ol.
  - 13. A composition according to Claim 3 wherein "e" or "d" is a double bond.
- wherein said steroid is selected from the group

  consisting of androsta-4, 6,16-trien-3-one, androsta4,6-dien-3-one, 17-methylene-androsta-4,16-dien-3one, 18-nor-17-methyl-androsta-4,6,17(13)-trien-3one, androsta-1,4,16-trien-3-one, androsta-1,4-dien3-one, 17-methylene-1,4-dien-3-one, 18-nor-17-methylandrosta-1,4,17(13)-trien-3-one, androsta-1,4,16trien-3α-ol, androsta-1,4-dien-3α-ol, 17-methyleneandrosta-1,4-dien-3α-ol, 18-nor-17-methyl-androsta-1,
  4,17(13)-trien-3α-ol.

- 15. A composition according to Claim 1 wherein "c" is a double bond.
- 16. A composition according to Claim 15
  wherein said steroid is selected from the group
  consisting of androsta-5(6),16-dien-3α-ol, androst5(6)-en-3α-ol, 17-methylene-androst-5(6)-en-3α-ol,
  18-nor-17-methyl-androsta-5(6),17(13)-dien-3α-ol.
  - 17. A composition according to Claim 15 wherein "a" is a double bond.
- wherein said steroid is selected from the group consisting of 3-methoxy-androsta-3,5(6),16-triene, 3-methoxy-androsta-3,5(6)-diene, 17-methylene-androsta-3,5(6)-diene, 18-nor-17-methyl-3-methoxy-androsta-3,5(6),17(13)-triene.
  - 19. A composition according to Claim 1 wherein  $P_3$  is methyl.
  - 20. A composition according to Claim 19 wherein  $P_5$  is methylene.

- 21. A composition according to Claim 20
  wherein said steroid is selected from the group
  consiting of 17-methylene-androst-4-en-3-one, 17methylene-androst-5(6)-en-3α-ol, 17-methylene5 androst-4-en-3α-ol, 17-methylene-androst-4-en-3β-ol,
  17-methylene-androsta-4,6-dien-3-one, 17-methyleneandrost-4-en-3,6-dione, 17-methylene-19-hydroxyandrost-4-en-3-one, 17-methylene-3-methoxy-androsta3,5(6)-diene, 17-methylene-androsta-1,4-dien-3-one,
  10 17-methylene-androsta-1,4-dien-3α-ol, 17-methylene6α-hydroxy-androst-4-en-3-one.
  - 22. A composition according to Claim 19 wherein  $P_5$  is two hydrogen atoms.
- 23. A compostion according to Claim 22
  15 wherein said steroid is selected from the group consisting of androst-4-en-3-one, androst-5(6)-en-3α-ol, androst-4-en-3α-ol, androst-4-en-3β-ol, androsta-4,6-dien-3-one, androst-4-en-3,6-dione, 19-hydroxy-androst-4-en-3-one, 3-methoxy-androsta-3,5(6)-diene,
  20 androsta-1,4-dien-3-one, androsta-1,4-dien-3α-ol, androst-4-en-6α-ol-3-one.
  - 24. A composition according to Claim 1 wherein  $P_3$  is methyl and "h" is a double bond.

- wherein said steroid is selected from the group consisting of androsta-4,16-dien-3-one, 3α-hydroxy-androsta-5(6),16-diene, 3α-hydroxy-androsta-4,16-diene, 3β-hydroxy-androsta-4,16-diene, androsta-4,6,16-trien-3-one, androsta-4,16-dien-3,6-dione, 19-hydroxy-androsta-4,16-dien-3-one, 3-methoxy-androsta-3,5(6),16-triene, androsta-1,4,16-trien-3-one, 3α-hydroxy-androsta-1,4,16-triene, androsta-4,16-dien-10-6α-ol-3-one.
  - 26. A composition according to Claim 1 wherein  $P_5$  is methyl and "f" is a double bond.
- wherein said steroid is selected from the group

  consisteing of 18-nor-17-methyl-androsta-4,17(13)dien-3-one, 18-nor-17-methyl-androsta-5(6),17(13)dien-3α-ol, 18-nor-17-methyl-androsta-4,17(13)-dien3α-ol, 18-nor-17-methyl-androsta-4,17(13)-dien-3β-ol,
  18-nor-17-methyl-androsta-4,17(13)-trien-3-one, 18nor-17-methyl-androsta-4,6,17(13)-trien-3-one, 18nor-17-methyl-19-hydroxy-androsta-4,17(13)-dien-3one, 18-nor-17-methyl-3-methoxy-androsta3,5(6),17(13)-triene, 18-nor-17-methyl-androsta1,4,17(13)-trien-3-one, 18-nor-17-methyl-androsta-

- 1,4-dien-3 $\alpha$ -ol and 18-nor-17-methyl-androsta-4,17(13)-dien-6 $\alpha$ -ol-3-one.
- 28. The pharmaceutical composition of any of Claims 1 through 27 wherein said steroid is dissolved in said carrier.
  - 29. The pharmaceutical composition of any of Claims 1 through 27 wherein said composition is in a liquid form.
- 30. The pharmaceutical composition of any
  10 of Claims 1 through 27 wherein said composition
  further contains a pharmaceutically acceptable
  ointment base.
- 31. The pharmaceutical composition of any of Claims 1 through 27 which contains no more than one of said steroids.
  - 32. The pharmaceutical composition of any of Claims 1 through 27 which contains more than one of said steroids.

- 33. The pharmaceutical composition of any of Claims 1 through 27 additionally comprising an Estrene steroid.
- 34. A method of altering a hypothalamic5 function of an individual, said method comprising:

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providing a ligand for a chemoreceptor displayed on the surface of nasal neuroepithelial cell of said individual wherein said cell is a part of tissue other than olfactory epithelia; and,

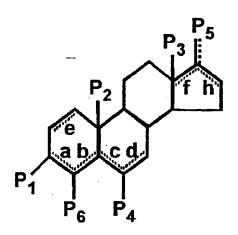
administering said ligand within a nasal passage of said individual such that said ligand binds specifically to said receptor and results in an alteration of hypothalamic function of said individual.

35. A method of altering an autonomic function of an individual, said method comprising:

providing a ligand for a chemoreceptor of a nasal neuroepithelial cell of-said individual wherein said cell is a part of tissue other than olfactory epithelia; and,

administering said ligand within a nasal passage of said individual such that said ligand binds specifically to said receptor and results in an alteration of hypothalamic function of said individual.

- 5
- 36. The method of Claim 35 wherein said neuroepithelial cell is located within a vomeronasal organ of said individual.
- 37. The method of claim 36 wherein said 10 ligand comprises an Androstane.
  - 38. The method of claim 37 wherein said Androstane has the formula:



wherein  $P_1$  is selected from the group consisting of  $\infty$ ,  $\alpha$ - $(\beta$ -) hydroxy,  $\alpha$ - $(\beta$ -) acetoxy,  $\alpha$ - $(\beta$ -) propionoxy,  $\alpha$ - $(\beta$ -) methoxy,  $\alpha$ - $(\beta$ -) lower acyloxy,  $\alpha$ - $(\beta$ -) lower alkyloxy, and  $\alpha$ - $(\beta$ -) benzoyloxy;  $P_2$  is

- selected from the group consisting of methyl, hydroxymethyl, acyloxymethyl, alkoxymethyl, lower alkyl, hydroxyalkyl, acyloxyalkyl, and alkoxylalkyl; P<sub>3</sub> is absent or is selected from the group consisting of methyl, hydroxymethyl, acyloxymethyl,
- alkoxymethyl, lower alkyl, hydroxyalkyl, acyloxyalkyl, and alkoxylalkyl; P<sub>4</sub> is selected from the group consisting of hydrogen, oxo, halo, hydroxy, alkoxy, and acyloxy; P<sub>5</sub> represents one or 2 substituents, wherein P<sub>5</sub> comprises one or two
- hydrogen atoms, methyl, methylene, or one or two halo atoms; P<sub>6</sub> is hydrogen or halo; and "a", "b", "c", "d", "e", "f", and "h" are alternative sites for optional double bonds.
- 39. A method according to Claim 38 wherein 20  $P_3$  a methyl or other lower alkyl.
  - 40. A method according to Claim 38 wherein "b" is a double bond.

- 41. A method according to Claim 40 wherein  $P_1$  is oxo.
- 42. A method according to Claim 41 wherein  $P_4$  is hydrogen.
- 5 43. A method according to Claim 42 wherein said steroid is selected from the group consisting of androsta-4,16-dien-3-one, androst-4-en-3-one, 17-methylene-androst-4-en-3-one, 18-nor-17-methyl-androsta-4,17(13)-dien-3-one, 19-hydroxy-androsta-10 4,16-dien-3-one, 19-hydroxy-androst-4-en-3-one, 19-hydroxy-17-methylene-androst-4-en-3-one and 18-nor-17-methyl-19-hydroxy-androsta-4,17(13)-dien-3-one.
  - 44. A method according to Claim 41 wherein  $P_4$  is oxo.
- 15 45. A method according to Claim 44 selected from the group consisting of androsta-4,16-dien-3,6-dione, androst-4-en-3,6-dione, 17-methylene-androst-4-en-3,6-dione and 18-nor-17-methyl-androsta-4,17(13)-dien-3,6-dione.
- 20 46. A method according to Claim 41 wherein  $P_4$  is hydroxy.

- 47. A method according to Claim 46 wherein said steroid is selected from the group consisting of  $6\alpha$ -hydroxy-androsta-4,16-dien-3-one,  $6\alpha$ -hydroxy-androsta-4,16-dien-3-one,  $6\alpha$ -hydroxy-androst-4-en-3-one and 18-nor-17-methyl- $6\alpha$ -hydroxy-androsta-4,17(13)-dien-3-one.
- 48. A method according to Claim 40 wherein  $P_1$  is hydroxy.
- 49. A method according to Claim 48 wherein said steroid is selected from the group consisting of androsta-4,16-dien-3α-ol, androsta-4, 16-dien-3β-ol, androst-4-en-3α-ol, androst-4-en-3β-ol, 17-methylene-androst-4-en-3β-ol, 17-methylene-androst-4-en-3β-ol, 18-nor-17-methyl-androsta-4, 17(13)-dien-3α-ol, 18-nor-17-methyl-androsta-4, 17(13)-dien-3β-ol.
  - -50. A method according to Claim 40 wherein "e" or "d" is a double bond.
- 51. A method according to Claim 50 wherein said steroid is selected from the group consisting of androsta-4, 6,16-trien-3-one, androsta-4,6-dien-3-one, 17-methylene-androsta-4,16-dien-3-one, 18-nor-17-methyl-androsta-4,6,17(13)-trien-3-one, androsta-

1,4,16-trien-3-one, androsta-1,4-dien-3-one, 17methylene-1,4-dien-3-one, 18-nor-17-methyl-androsta1,4,17(13)-trien-3-one, androsta-1,4,16-trien-3α-ol,
androsta-1,4-dien-3α-ol, 17-methylene-androsta-1,45 dien-3α-ol, 18-nor-17-methyl-androsta-1, 4,17(13)trien-3α-ol.

- 52. A method according to Claim 38 wherein "c" is a double bond.
- 53. A method according to Claim 52 wherein said steroid is selected from the group consisting of androsta-5(6),16-dien-3α-ol, androst-5(6)-en-3α-ol, 17-methylene-androst-5(6)-en-3α-ol, 18-nor-17-methyl-androsta-5(6),17(13)-dien-3α-ol.
- 54. A method according to Claim 38 wherein 15 "a" is a double bond.
  - 55. A method according to Claim 54 wherein said steroid is selected from the group consisting of 3-methoxy-androsta-3,5(6),16-triene, 3-methoxy-androsta-3,5(6)-diene, 17-methylene-androsta-3,5(6)-diene, 18-nor-17-methyl-3-methoxy-androsta-3,5(6),17(13)-triene.

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- 56. A method according to Claim 38 wherein P3 is methyl.
- 57. A method according to Claim 56 wherein  $P_5$  is methylene.
- 58. A method according to Claim 57 wherein said steroid is selected from the group consiting of 17-methylene-androst-4-en-3-one, 17-methylene-androst-4-en-3α-ol, 17-methylene-androst-4-en-3α-ol, 17-methylene-androst-4-en-3β-ol, 17-methylene-androst-4-en-3β-ol, 17-methylene-androst-4-en-3, 6-dione, 17-methylene-19-hydroxy-androst-4-en-3-one, 17-methylene-3-methoxy-androsta-3,5(6)-diene, 17-methylene-androsta-1,4-dien-3-one, 17-methylene-androsta-1,4-dien-3-one, 17-methylene-androsta-1,4-dien-3-one, 17-methylene-androsta-1,4-dien-3-one, 17-methylene-androsta-1,4-dien-3-one.
  - -59. A composition according to Claim 56 wherein  $P_5$  is two hydrogen atoms.
- 60. A compostion according to Claim 59
  wherein said steroid is selected from the group
  20 consisting of androst-4-en-3-one, androst-5(6)-en-3α-ol, androst-4-en-3β-ol, androsta-4,6-dien-3-one, androst-4-en-3,6-dione, 19-hydroxy-

androst-4-en-3-one, 3-methoxy-androsta-3,5(6)-diene, androsta-1,4-dien-3-one, androsta-1,4-dien-3 $\alpha$ -ol, androst-4-en-6 $\alpha$ -ol-3-one.

- 61. A method according to Claim 38 wherein 5  $P_3$  is methyl and "h" is a double bond.
- 62. A method according to Claim 61 wherein said steroid is selected from the group consisting of androsta-4,16-dien-3-one, 3α-hydroxy-androsta-5(6),16-diene, 3α-hydroxy-androsta-4,16-diene, 3β-10 hydroxy-androsta-4,16-diene, androsta-4,6,16-trien-3-one, androsta-4,16-dien-3,6-dione, 19-hydroxy-androsta-4,16-dien-3-one, 3-methoxy-androsta-3,5(6),16-triene, androsta-1,4,16-trien-3-one, 3α-hydroxy-androsta-1,4,16-triene, androsta-4,16-dien-15 6α-ol-3-one.
  - $\,$  63. A method according to Claim 38 wherein  $P_5$  is methyl and "f" is a double bond.
- 64. A composition according to Claim 63 wherein said steroid is selected from the group
   20 consisteing of 18-nor-17-methyl-androsta-4,17(13)-dien-3-one, 18-nor-17-methyl-androsta-5(6),17(13)-dien-3α-ol, 18-nor-17-methyl-androsta-4,17(13)-dien-

3α-ol, 18-nor-17-methyl-androsta-4,17(13)-dien-3β-ol,
18-nor-17-methyl-androsta-4,6,17(13)-trien-3-one, 18nor-17-methyl-androsta-4,17(13)-dien-3,6-dione, 18nor-17-methyl-19-hydroxy-androsta-4,17(13)-dien-3one, 18-nor-17-methyl-3-methoxy-androsta3,5(6),17(13)-triene, 18-nor-17-methyl-androsta1,4,17(13)-trien-3-one, 18-nor-17-methyl-androsta1,4-dien-3α-ol and 18-nor-17-methyl-androsta4,17(13)-dien-6α-ol-3-one.

- 10 65. The method of any of Claims 34 through 64 wherein the amount of said ligand that is administered is at least about 100 picograms, but no more than about 100 micrograms.
- 66. The method of Claim 65 wherein the
  amount of said ligand that is administered is at
  least about 1 nanograms, but no more than about 10
  micrograms.
- 67. The method of Claim 66 wherein the amount of said ligand that is administered is at least about 10 nanograms, but no more than about 1 microgram.

- 68. The method of any of Claims 34 through 64 further comprising preparing a pharmaceutical composition of said ligand dissolved in a pharmaceutically acceptable carrier.
- 5 69. The method of Claim 68 wherein said pharmaceutical composition is an ointment.
  - 70. The method of Claim 68 wherein said pharmaceutical composition is liquid.
- 71. The method of Claim 68 wherein the 10 administration is by aerosol.
  - 72. The method of any of Claims 34 through 64 wherein more than one Androstane steroid is administered.
- 73. The method of any of Claims 34 through
  15 64 further comprising nasally co-administering to
  said individual an Estrene steroid.
  - 74. The method of any of Claims 34 through 64 wherein said function is the diminution of negative affect.

- 75. The method of any of Claims 34 through 64 wherein said individual is a woman.
- 76. The method of Claim 75 wherein said alteration of hypothalamic function results in a reduction of premenstrual stress in women.
  - 77. The method of any of Claims 34 through 64 wherein said function is the alleviation of the symptoms of psychoses, depression or anxiety.

## INTERNATIONAL SEARCH REPORT

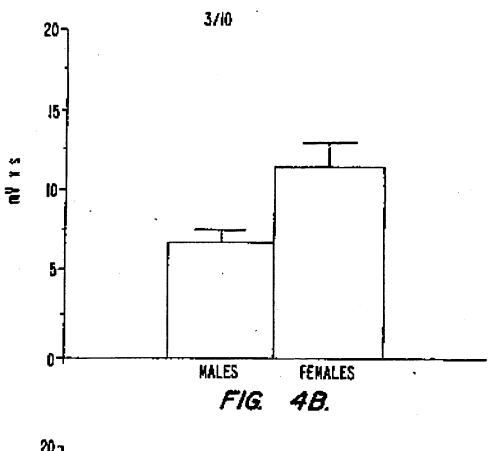
International application No.
PCT/US93/09349

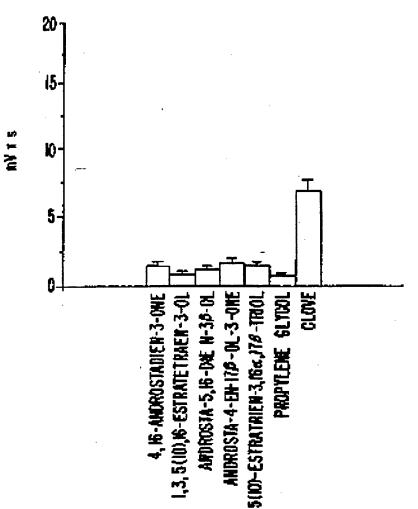
A. CLA	SSIFICATION OF SUBJECT MATTER		
IPC(5) :A61K 31/56 US CL : 514/170,177,178,182			
According to International Patent Classification (IPC) or to both national classification and IPC			
B. FIELDS SEARCHED			
Minimum documentation searched (classification system followed by classification symbols)			
U.S. : 514/170,177,178,182			
Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched			
none			
Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)			
APS and CAS ONLINE: androstane, psych?, depress?, anxiet?, hypothal?, autonom?, chemorecept?, steroid			
C. DOCUMENTS CONSIDERED TO BE RELEVANT			
Category*	Citation of document, with indication, where ap	propriate, of the relevant passages	Relevant to claim No.
Y,E	US, A, 5,272,134 (Berliner) 21 December 1993, see entire document.		
Υ	US, A, 3,908,007 (Itil et al.) 23 September 1975, see column 1, line 4-column 2, line 2.		22-54
Υ	US, A, 4,330,538 (Itil et al.) 18 May 1982, see abstract 22-54 and column 1-column 2, line 14.		
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Further documents are listed in the continuation of Box C. See patent family annex.			
<ul> <li>Special categories of cited documents:</li> <li>To later document published after the international filing date or priority date and not in conflict with the application but cited to understand the</li> </ul>			
*A* document defining the general state of the art which is not considered to be part of particular relevance:  *X* document of particular relevance; the claimed invention cannot be			
*E* carlier document published on or after the international fitting date  considered novel or cannot be considered to when the document is taken alone		ered to involve an inventive step	
cited to establish the publication date of another citation or other special reason (as specified)  document of particular relevance; the claimed invention cannot special reason (as specified)			e sten when the document w
_	O' document referring to an oral disclosure, use, exhibition or other combined with one or more other such documents, such combination being obvious to a person skilled in the art		
*P* document published prior to the international filing date but later than *g.* document member of the same patent family the priority date claimed			
Date of the actual completion of the international search  Date of mailing of the international search  Date of mailing of the international search			
04 Janua	ıry 1993	27 JAN 1994	//
Name and mailing address of the ISA/US Commissioner of Patents and Trademarks  Authorized officer			(anul)
Box PCT Washington, D.C. 20231  KIMBERLY JORDAN			1 pr

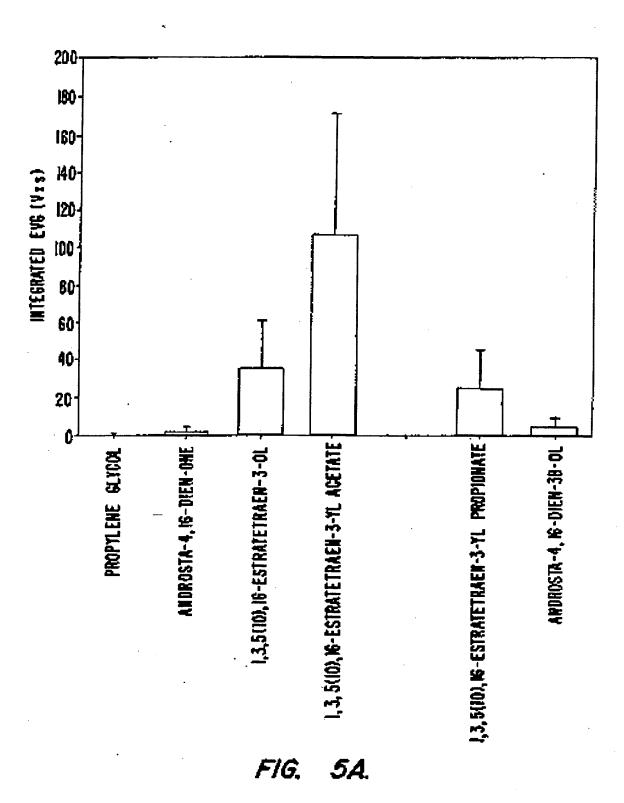
5 R! OH; R2-H (48%) 6 R!-H; R2-OH (48%)

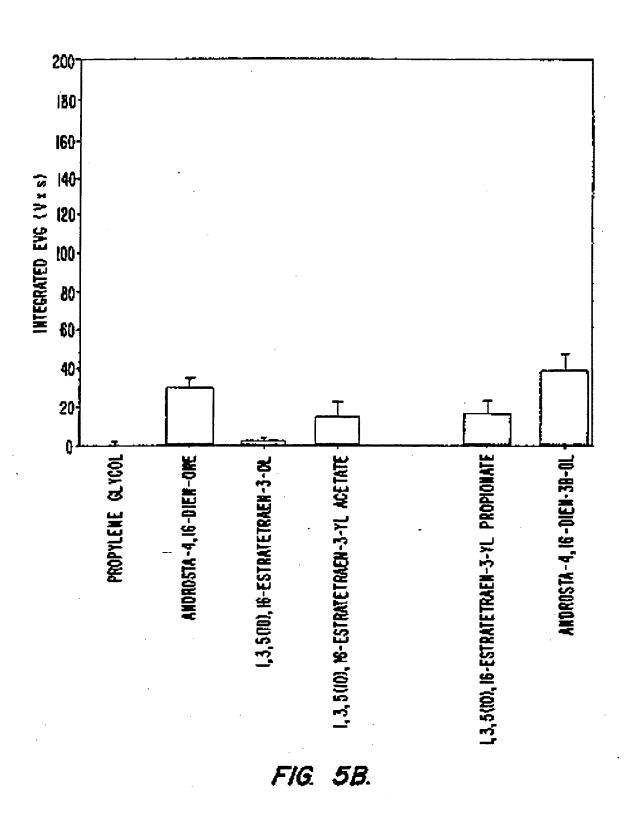
FIG.

EIG 2







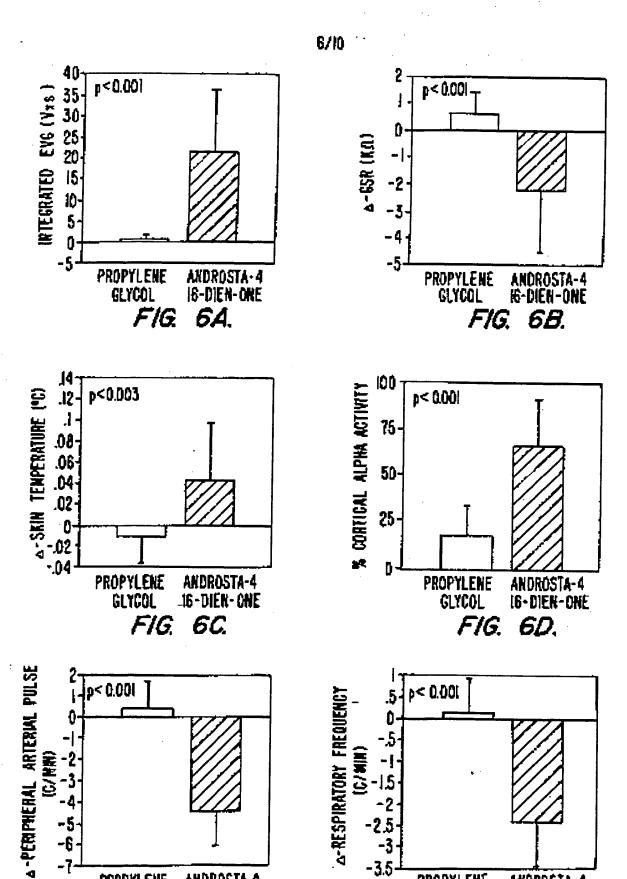


PROPYLENE GLYCOL

FIG.

ANDROSTA-4 16-DIEN-ONE

6E.



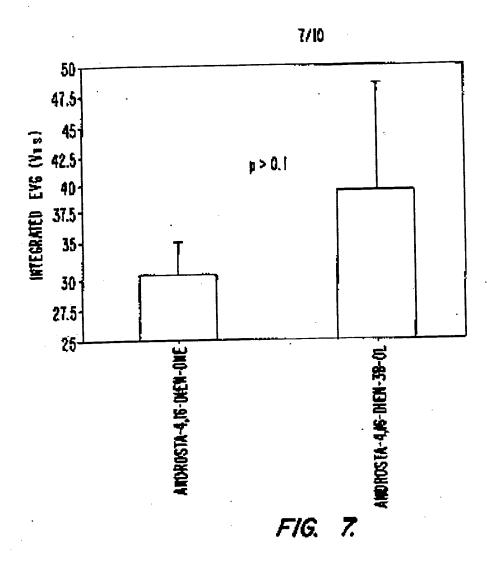
-3.5

PROPYLEME GLYCOL

FIG.

ANDROSTA-4 16-DIEN-ONE

6F.



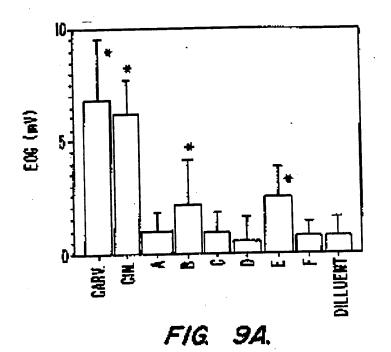


FIG. 9B.

